



# Convergent molecular evolution among ash species resistant to the emerald ash borer

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**Recent studies show that molecular convergence plays an unexpectedly common role in the evolution of convergent phenotypes. We exploited this phenomenon to find candidate loci underlying resistance to the emerald ash borer (EAB, *Agrilus planipennis*), the United States' most costly invasive forest insect to date, within the pan-genome of ash trees (the genus *Fraxinus*). We show that EAB-resistant taxa occur within three independent phylogenetic lineages. In genomes from these resistant lineages, we detect 53 genes with evidence of convergent amino acid evolution. Gene-tree reconstruction indicates that, for 48 of these candidates, the convergent amino acids are more likely to have arisen via independent evolution than by another process such as hybridization or incomplete lineage sorting. Seven of the candidate genes have putative roles connected to the phenylpropanoid biosynthesis pathway and 17 relate to herbivore recognition, defence signalling or programmed cell death. Evidence for loss-of-function mutations among these candidates is more frequent in susceptible species than in resistant ones. Our results on evolutionary relationships, variability in resistance, and candidate genes for defence response within the ash genus could inform breeding for EAB resistance, facilitating ecological restoration in areas invaded by this beetle.**

Ash trees (*Fraxinus*) are key components of temperate forest ecosystems<sup>1,2</sup>, the health of which affects the provision of ecosystem services including climate change mitigation<sup>3</sup>. The continued survival of ash in North America and Europe is threatened by a highly destructive invasive insect<sup>4,5</sup>, the emerald ash borer (EAB, *Agrilus planipennis*). This wood-boring beetle has thus far proved to be highly destructive to the majority of *Fraxinus* species it has encountered outside of its native range in East Asia<sup>4,5</sup>. In North America, EAB has already killed hundreds of millions of ash trees and billions more are at risk<sup>6</sup>. In Europe, the beetle has established an invasive range in Moscow from which it is spreading<sup>5,7</sup>, and there is increasing concern about the threat posed to native *Fraxinus excelsior* populations that have already been severely damaged by ash dieback disease<sup>8,9</sup>. EAB is a minor pest species within its native range in East Asia<sup>10–12</sup>, its outbreaks generally associated with the planting of exotic *Fraxinus* species such as *F. americana* and *F. velutina* in China<sup>11–13</sup>. Commonly reported natural hosts of EAB (such as *F. chinensis* and *F. mandshurica*<sup>13,14</sup>) are largely resistant unless otherwise stressed, such as when they are grown along roadsides or in plantations<sup>12,13</sup> or under drought conditions<sup>15</sup>. *Fraxinus* species from within the native range of EAB may therefore provide a source of genes for resistance breeding<sup>4</sup>.

Although some genes and compounds that may contribute to resistance in certain *Fraxinus* taxa have been identified via transcriptomics, proteomics and the analysis of metabolites<sup>16–18</sup>, the mechanisms of defence response in known EAB-resistant species are still not well understood and some *Fraxinus* species have not been tested for resistance. We took a genus-wide approach to detect genes related to EAB resistance, inspired by a growing number of studies finding evidence that convergent molecular mutations can

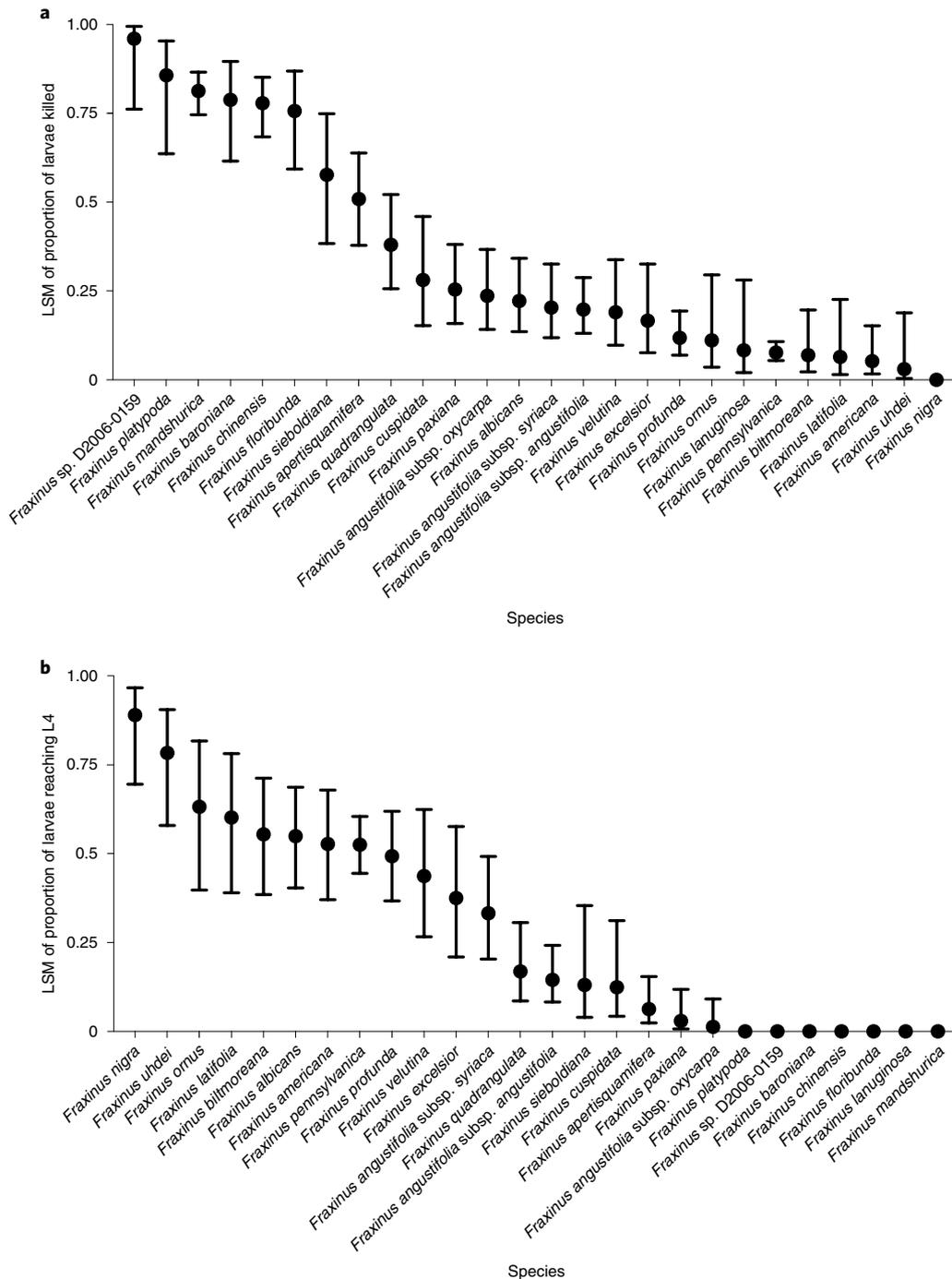
provide the genetic basis for independent evolution of phenotypic traits<sup>19–22</sup>, including cases involving recurrent change at identical amino acid sites<sup>23,24</sup>. We tested for both phenotypic and molecular convergence relating to EAB resistance in *Fraxinus*, by assessing 26 taxa with EAB egg bioassays and by assembling de novo and analysing whole-genome sequences for 24 diploid species and subspecies, with the aim of identifying candidate genes for resistance.

## Results

To better understand how resistance to EAB varies across the genus, and to examine evidence for convergent evolution of this trait, we assessed resistance to EAB for 26 *Fraxinus* taxa (Supplementary Table 1) representing four of the six taxonomic sections and 48% of species<sup>25</sup>. Tree resistance was scored according to the instar, health and weight of EAB larvae in the stems of inoculated trees 8 weeks after infestation<sup>26</sup> (see Methods and Supplementary Table 1). In *F. baroniana*, *F. chinensis*, *F. floribunda*, *F. mandshurica*, *F. platypoda* and *Fraxinus* sp. D2006-0159, least-squares means (LSM) of the proportion of host-killed larvae (number of larvae killed by tree defence response divided by total larvae entering the tree) were >0.75 (Fig. 1a and Supplementary Table 2), and LSM estimate of the proportion of larvae successfully entering the tree and that reached the L4 instar was zero (Fig. 1b and Supplementary Table 2), indicating that these species are resistant to EAB. In contrast, all other taxa tested had a LSM proportion of larvae killed of 0.58 or less (Fig. 1a) and had LSM for L4 larvae proportion between 0 and 0.89 (Fig. 1b).

To infer a robust phylogenetic framework for *Fraxinus* within which to understand the evolution of EAB resistance and to allow analysis of evidence for molecular convergence, we sequenced and assembled the genomes of 28 individuals from 26 diploid taxa

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**Fig. 1 | *Fraxinus* species' resistance to EAB in bioassays. a,b**, Measures of resistance of different *Fraxinus* taxa to EAB larvae. The x axis shows taxa tested while the y axis shows the LSM estimate of the proportion of larvae successfully entering the tree and that were killed by a host defence response (**a**), or the LSM estimate of the proportion of larvae successfully entering the tree that reached the L4 instar (**b**). The error bars represent 95% confidence intervals. *Fraxinus* sp. D2006-0159 is a genotype from China for which we could not determine a recognized species name. *Fraxinus biltmoreana*, *F. chinensis*, *F. lanuginosa*, *F. profunda* and *F. uhdei* are polyploids and were not included in the genomic analyses; *F. apertisquamifera* was also not included.

representing all six sections within the genus<sup>25</sup>, including a common EAB-susceptible accession and a rare putatively EAB-resistant accession<sup>26</sup> for *F. pennsylvanica* (Supplementary Table 3). Estimated genome sizes (1C-values) of the individuals selected for sequencing range between ~700 and 1,100 Mb (Supplementary Table 4); for all individuals we generated ~35–85-fold of whole-genome shotgun coverage with Illumina sequencing platforms (see Methods and Supplementary Table 4). On assembly (Methods) these data

generated 133,719–715,871 scaffolds for each individual, with the minimum contig length needed to cover 50% of the assembly (N50) ranging from 1,987 to 50,545 base pairs (bp) (Supplementary Table 4); BUSCO analysis of the genome assemblies (Methods) found that 78.4–94.7% of genes were present (either complete or fragmented; Supplementary Table 4). Therefore, despite some of the assemblies being highly fragmented, a sufficient proportion of the gene space had been assembled to facilitate testing for amino acid

convergence (see below). We annotated genes in these assemblies via a reference-based approach (Methods) using the published genome annotation of *F. excelsior*<sup>27</sup>. We clustered the protein sequences of these genes into putative orthologue groups (OGs; Methods), also including protein sequences from the *F. excelsior* reference genome and the published genome annotations of *Olea europaea*<sup>28</sup>, *Erythranthe guttata*<sup>29</sup> and *Solanum lycopersicum*<sup>30</sup>. We found a total of 87,194 OGs, each containing sequences from between 2 and 32 taxa; 1,403 OGs included a sequence from all 32 taxa.

We generated multiple sequence alignments for the 1,403 OGs that included all taxa and inferred gene-trees for each (Methods). To generate a species-tree estimate for *Fraxinus*, we conducted Bayesian concordance analysis (Methods). This resulted in a tree based on 272 phylogenetically informative, low-copy genes (Fig. 2 and Supplementary Note 1). Within this tree, the EAB-resistant taxa identified from our bioassays occurred in three independent lineages: (1) *F. mandshurica* occurred within a clade corresponding to section *Fraxinus* that also included susceptible taxa; (2) *F. platypoda* was sister to a clade corresponding to section *Melioides*, which includes most of the susceptible American species; and (3) *F. baroniana*, *F. floribunda* and *Fraxinus* sp. D2006-0159 clustered together, within a larger clade that included most species in section *Ornus*, including susceptible *F. ornus*. Thus, by combining phenotypic data with the most highly evidenced phylogenetic hypothesis for *Fraxinus* to date, we show that EAB resistance has evolved convergently within the genus. A further resistant taxon identified from our bioassay, *F. chinensis*, was not included in the species-tree analysis because it is a polyploid<sup>31</sup>.

We searched for amino acid variants putatively convergent between the resistant lineages using an approach that identifies loci with a level of convergence in excess of that likely to be due to chance alone (grand-conv; Methods). We conducted three pairwise analyses of lineages, with each pair representing two of the three independent lineages of diploid EAB-resistant taxa identified from our egg bioassays and species-tree analysis: (1) *F. mandshurica* versus *F. platypoda*; (2) *F. mandshurica* versus *F. baroniana*, *F. floribunda* and *Fraxinus* sp. D2006-0159; and (3) *F. platypoda* versus *F. baroniana*, *F. floribunda* and *Fraxinus* sp. D2006-0159. In all these analyses we included three outgroups and five *Fraxinus* species with high susceptibility (Methods). Each analysis was based on alignments of OGs found in all of the included taxa: 3,454 OGs in analysis 1, 3,097 OGs in analysis 2 and 3,026 OGs in analysis 3. Our candidate amino acid variants were those identified by grand-conv as convergent (minimum posterior probability of 0.90) within loci predicted to have the highest excess of convergent over divergent substitutions in the resistant lineages (Methods).

Amino acid states that appear convergent between lineages in a genus could, alternatively, be due to the unintentional comparison of different gene duplicates (paralogues), or may have arisen from introgressive hybridization or incomplete lineage sorting (ILS). We checked our candidate loci for the possible confounding effect of paralogy, as well as gene model and alignment errors, leaving a total of 67 amino acid sites in 53 genes (Supplementary Note 2 and Supplementary Table 5). We inferred gene-trees for these 53 remaining genes from their coding sequence (CDS) alignments. If introgression or ILS was the cause of the shared amino acid states (Supplementary Table 5), we would expect sequences containing apparently convergent residues to group together within their gene-tree, even when nucleotides encoding those residues were removed. In all but one case (OG20252; Supplementary Fig. 1), the pattern of amino acid variation at candidate sites is better explained by a hypothesis of convergent point mutations rather than by introgressive hybridization or ILS (Supplementary Fig. 1). For four loci (OG11013, OG20859, OG37870 and OG41448) the gene-tree analysis suggests that the state identified as convergent by grand-conv is ancestral within *Fraxinus*, with change occurring in the

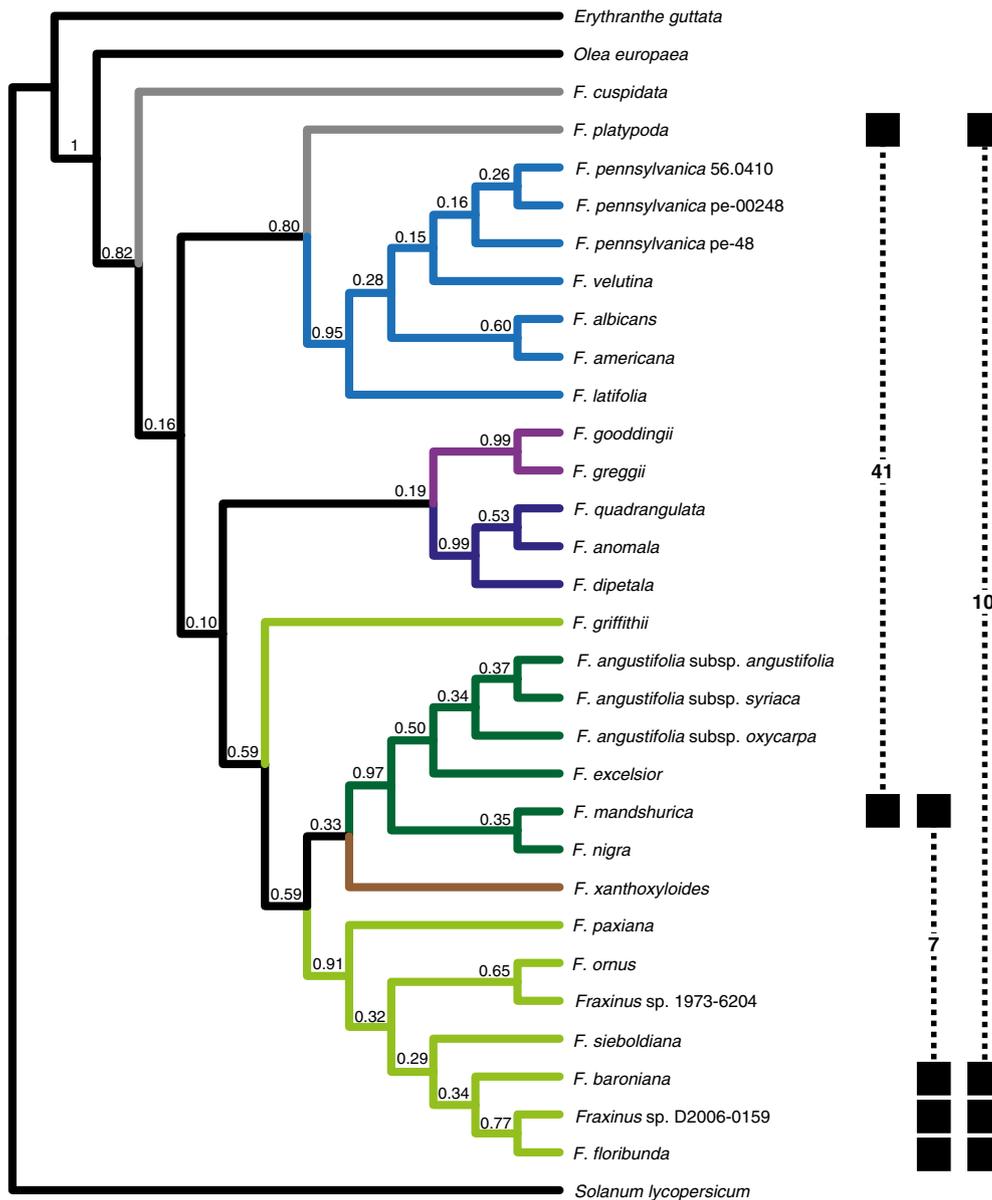
other direction (that is, from the ‘convergent’ state identified by grand-conv to the ‘non-convergent’ state; Supplementary Table 5).

We looked for evidence of loss of function in the 53 candidate genes, based on the presence of frameshifts, stop codon gains and start codon losses, in any of the *Fraxinus* individuals included in our convergence analyses. Six of our 53 candidate genes show evidence of lacking a fully functional allele in a susceptible taxon, compared with one for resistant taxa (Supplementary Note 3 and Supplementary Table 6), suggesting that these susceptible taxa may have impaired function of some genes related to defence against EAB.

Among our 53 candidate genes, seven have putative roles relating to the phenylpropanoid biosynthesis pathway (Supplementary Note 4). This pathway generates anti-feedant and cytotoxic compounds, as well as products involved in structural defence such as lignin<sup>32</sup>; it can contribute to indirect defence by producing volatiles that attract parasitoids or predators<sup>33</sup>. Loci OG15551, OG853 and OG16673 are of particular interest. Four convergent amino acids were identified in OG15551 (Fig. 3), a paralogue of CYP98A3 (Supplementary Fig. 2), which encodes a critical phenylpropanoid pathway enzyme<sup>34</sup>. Three of the four residues fall within CYP98A3 putative substrate-recognition sites, with two at positions predicted to contact the substrate<sup>35</sup> including a leucine (sulfur-containing)/methionine (non-sulfur-containing) variant (Fig. 3), suggesting that these variants may affect the protein's function. OG853 is apparently orthologous to *RFR1* (also called *MED5a*; Supplementary Fig. 2), a known regulator of the phenylpropanoid pathway<sup>36,37</sup> that seems to be involved in regulation of defence-response genes<sup>38</sup>. OG16673 is a probable glycoside hydrolase; putative *Arabidopsis thaliana* homologues of OG16673 belong to glycoside hydrolase family 1 and have beta-glucosidase activity, with functions such as chemical defence against herbivory, lignification and control of phytohormone levels<sup>39</sup>. A role for beta-glucosidases in defence against EAB in individual *Fraxinus* species has previously been suggested on the basis of chemical<sup>40</sup> and transcriptomic<sup>18</sup> data, and several metabolomic studies have indicated that products of the phenylpropanoid pathway could be involved<sup>41</sup>.

We found 15 candidate genes (Supplementary Note 4) with possible roles in perception and signalling relevant to defence response against herbivorous insects<sup>33</sup>. OG4469 is a probable orthologue of AtG-LecRK-1.6, a G-type lectin receptor kinase (LecRK) with ATP-binding activity (Supplementary Note 4.3). G-type LecRKs can act as pattern recognition receptors in the perception of feeding insects<sup>42</sup>; extracellular ATP is a damage-associated molecular pattern whose perception can trigger defence response-related genes<sup>42</sup>. OG38407 appears orthologous to *SNIPER4* (Supplementary Fig. 2), an F-box protein-encoding gene involved in regulating turnover of defence response-related proteins for optimal defence activation<sup>43</sup>; the convergent site is in a leucine-rich repeat region (Extended Data Fig. 1) involved in recognition of substrate proteins for ubiquitination<sup>43,44</sup>.

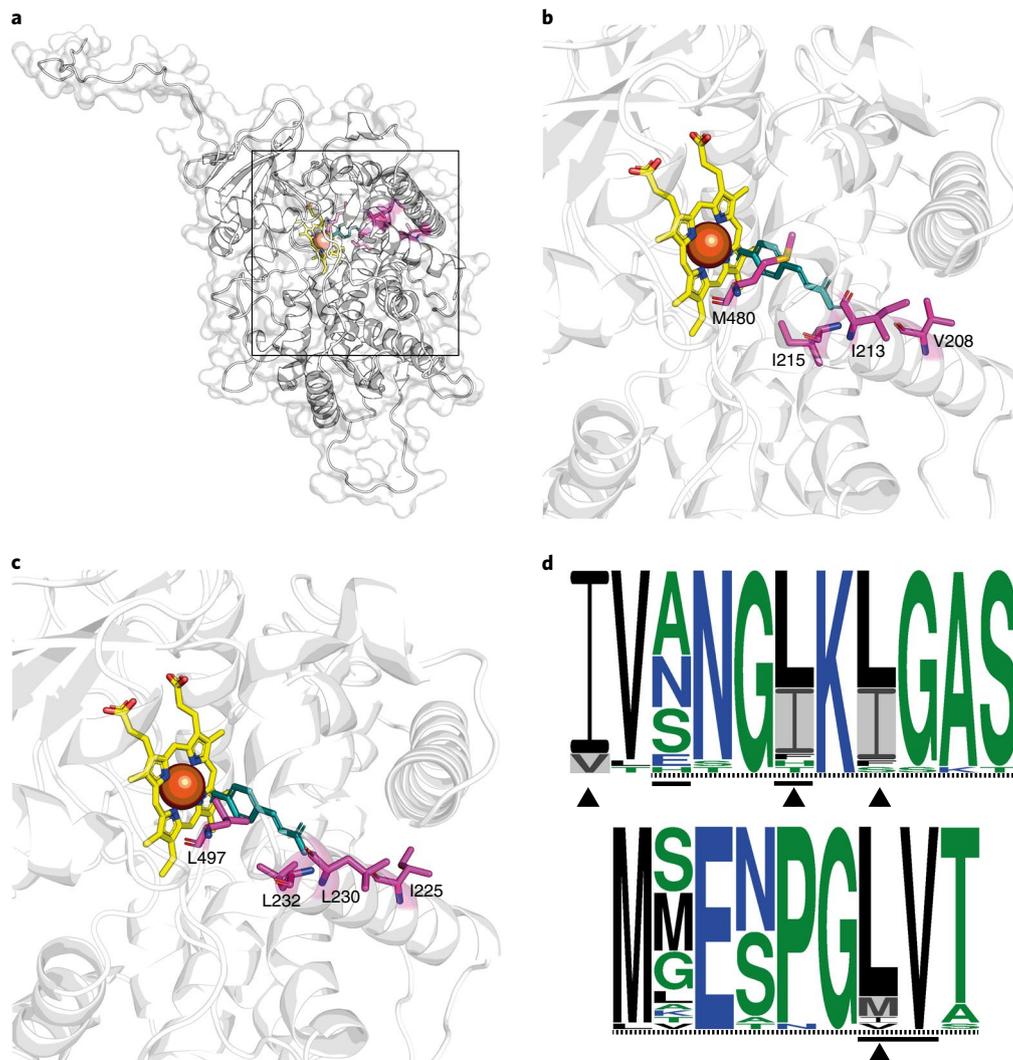
Several genes appear to relate to phytohormone biosynthesis and signalling, including those with putative functions in the biosynthesis of jasmonate (OG41448), brassinosteroid (OG43828), cytokinin (OG39275) and abscisic acid (OG47560), and Gene Ontology (GO) terms associated with hormone metabolism and biosynthesis are significantly enriched ( $P < 0.01$ ) among our set of candidate genes (Supplementary Note 5 and Supplementary Table 7). Jasmonate signalling is the central regulatory pathway for defence response against insect herbivores<sup>42,45</sup>, whereas brassinosteroids and cytokinins can play important roles in insect resistance via modulation of the jasmonate pathway<sup>45,46</sup>. Abscisic acid is induced by herbivory and is a known modulator of resistance to insect herbivores<sup>42,45</sup>. OG11720 is putatively orthologous to *NRT1.5* (also known as *NPF7.3*), a member of the NRT1/PTR family<sup>47</sup> involved in transport of multiple phytohormones (Supplementary Note 4.3); a transcript matching this



**Fig. 2 | Species-tree for the genus *Fraxinus*.** The primary concordance tree from 272 phylogenetically informative loci found in all taxa is shown, inferred via Bayesian concordance analysis with BUCKy. Taxonomic sections within *Fraxinus*, according to Wallander<sup>25</sup>, are shown in different colours: dark blue, section *Dipetalae*; dark green, section *Fraxinus*; light blue, section *Melioides*; light green, section *Ornus*; purple, section *Pauciflorae*; brown, section *Sciadanthus*. *Fraxinus* species not placed in a specific section (incertae sedis) are coloured grey, and outgroups black. Numbers above the branches are sample-wide concordance factors. Filled squares (linked by dashed lines) indicate the resistant taxa included in the three pairwise convergence analyses, with the number of candidate genes found from that comparison shown; numbers do not sum to 53 (that is, the total number of candidate genes) because some genes were identified by more than one pairwise comparison.

gene family had decreased expression in response to both mechanical wounding and EAB feeding in *F. pennsylvanica*<sup>18</sup>. Putative functions of further candidates relate to other signalling molecules involved in triggering of the defence response (Supplementary Note 4), including calcium (OG50989)<sup>33,48</sup>, nitric oxide (OG21033)<sup>49,50</sup> and spermine (OG33348)<sup>51</sup>. Increased resistance to EAB can be artificially induced in *Fraxinus* species with otherwise high susceptibility<sup>52</sup>, leading to the suggestion that susceptible species may fail to recognize, or respond sufficiently quickly to, early signs of EAB attack<sup>41</sup>. Our identification of candidate genes putatively involved in perception and signalling underlines the possibility of differences between EAB-resistant and -susceptible *Fraxinus* species in both their ability to sense and react to attacking insects.

Hypersensitive response, involving programmed cell death (PCD), is associated with effector-triggered immunity in response to microbial pathogens<sup>53</sup> but can also be induced by insect herbivory<sup>54</sup> and oviposition<sup>55</sup>. OG16739 and OG37870 are candidates with putative roles related to hypersensitive response-like effects and PCD. OG16739 has homologues that control cell death in response to wounding, via the induction of ethylene and the expression of defence- and senescence-related genes<sup>56</sup>. OG37870 may be orthologous to genes that appear to play a role in controlling PCD of xylem elements<sup>57</sup>. Candidate loci whose putative functions lack an obvious link to plant defence response (Supplementary Note 4) could be involved in other phenotypic traits shared between EAB-resistant species or may play a role in defence response that is not yet understood.



**Fig. 3 | Predicted protein structure for OG15551.** **a**, Predicted structure for OG15551, modelled using the protein sequence for the EAB-resistant species *F. mandshurica*. The black box indicates the region containing the active site, which is enlarged in **b,c**. **b**, Region containing the predicted active site in *F. mandshurica*, showing the four amino acid sites at which evidence for convergence between EAB-resistant species was detected. The putative substrate, p-coumarate, is shown in blue and the haem co-factor in yellow. **c**, Region containing the predicted active site in the EAB-susceptible *F. pennsylvanica* pe-48, showing the amino acid states found at the four sites at which evidence for convergence between EAB-resistant species was detected; the putative substrate and co-factor are shown as in **b**. **d**, Sequence logos for OG15551 and putatively homologous sequences from other angiosperms for regions containing sites at which evidence of convergence was detected (positions 208–218 (top) and 474–482 (bottom) in the *F. excelsior* reference protein), showing the degree of sequence conservation across 30 genera. The height of each residue indicates its relative frequency at that site; amino acids are coloured according to their hydrophobicity (blue, hydrophilic; black, hydrophobic; green, neutral). Dashed lines indicate substrate-recognition sites, and solid lines residues that are predicted to contact the substrate in the *A. thaliana* CYP98A3 protein<sup>35</sup>; arrowheads indicate sites at which evidence of convergence between EAB-resistant taxa was detected, and grey shading shows the amino acid states associated with resistance.

We found that 19 of our 53 candidates match the same *A. thaliana* genes as transcripts that are differentially expressed in response to elm leaf beetle (either in response to simulated egg deposition, or larval feeding)<sup>58</sup>, including genes such as OG24969 whose putative *A. thaliana* homologues lack a clear defence-related function.

We analysed allelic variation at the 67 amino acid sites within the 53 candidate genes for all sequenced taxa assessed for resistance to EAB. Of the 67 sites, seven have the EAB resistance-associated state only in resistant taxa, and another is homozygous for the EAB resistance-associated state in only resistant taxa (Supplementary Table 8). Of the 53 candidate genes, four are homozygous only in resistant taxa for the EAB resistance-associated state at the candidate amino acid site(s) detected within them (OG853, OG21449,

OG36502 and OG37560; Supplementary Table 8). If we omit the genomes of *F. nigra*, *F. excelsior* and the three *F. angustifolia* subspecies (section *Fraxinus*), for 24 of the 53 candidate genes we find the EAB resistance-associated states only in resistant taxa, for 48 genes they are found only in taxa with a LSM proportion of larvae killed of  $\geq 0.25$ , and the remaining five genes are homozygous for the EAB resistance-associated states only in taxa with a LSM proportion of larvae killed of  $\geq 0.25$  (Supplementary Table 8).

Analysis of previously generated whole-genome sequence data for 37 *F. excelsior* individuals from different European provenances<sup>27</sup> revealed that, for 50 of the 67 candidate amino acid sites (occurring in 41 of the 53 genes), the EAB resistance-associated state was present with evidence for polymorphism at seven of these sites

(Supplementary Table 9). None of the EAB resistance-associated amino acid states were found in the putatively resistant *F. pennsylvanica* genotype (Supplementary Table 8), suggesting that different genes, or different variants within these genes, are involved in the intraspecific variation in susceptibility of this species. Despite this, transcripts inferred to be from 11 of our candidate genes showed evidence for differential expression subsequent to EAB feeding in *F. pennsylvanica* (Supplementary Note 6 and Supplementary Table 10), and two gene families that were highlighted as potentially important in response to tissue damage in *F. pennsylvanica*<sup>18</sup> are also represented among our candidates (see above).

## Discussion

It has frequently been suggested that EAB has a co-evolutionary history with its native *Fraxinus* hosts within their shared geographic ranges in East Asia, during which defence mechanisms against EAB may have been selected for<sup>12,41,59</sup>. All six taxa identified as resistant to EAB on the basis of our egg bioassays are native to Asia<sup>25</sup> (*Fraxinus* sp. D2006-0159 originates from material collected in northern China), including known natural EAB host species *F. chinensis* and *F. mandshurica*. In addition to *F. chinensis* and *F. mandshurica*, the native range of *F. platypoda* overlaps with that of EAB<sup>14,25</sup>. The current native ranges of *F. baroniana* and *F. floribunda*<sup>25,60</sup> apparently do not overlap with the native range of EAB<sup>14</sup>, but we cannot discount the possibility that they did in the past and thus that these species also share a co-evolutionary history with EAB. Alternatively, it may be the case that the most recent common ancestor of *F. baroniana*, *F. chinensis*, *F. floribunda* and *Fraxinus* sp. D2006-0159 (all of which belong to section *Ornus*) was an EAB host species and that resistance has been retained in these extant descendants. Among the resistant Asian species are comparatively close relatives of all three major susceptible North American EAB hosts: *F. pennsylvanica*, *F. americana* and *F. nigra*. It is known that the closely related *F. mandshurica* and *F. nigra* can produce hybrids<sup>61,62</sup>; the phylogenetic proximity of *F. platypoda* to *F. pennsylvanica* and *F. americana* suggests that it may also be possible to increase resistance in these species via hybrid breeding.

By assessing resistance across the genus and testing for molecular convergence, we have provided evidence for candidate genes involved in EAB resistance in *Fraxinus*. Multiple loci, contributing to different defence responses, appear to underlie this trait. In less than 20 years since it was first detected in North America, EAB has caused devastating damage to native ash populations, to the point where *Fraxinus* risks being lost entirely as a functional component of forest ecosystems<sup>4</sup>. Our data may help to target future efforts to increase the resistance of North American and European ash species to EAB via breeding or gene editing, an intervention that could be required if these species are to persist in the face of the ongoing threat from this invasive beetle. Moreover, these results highlight the potential use of convergence analyses as an approach to identifying candidate genes for traits of interest in organisms where alternative strategies, such as genome-wide association studies or mapping of quantitative trait loci, may be less feasible.

## Methods

**Data reporting.** For the EAB resistance assays, experiments were conducted using a randomized block design. No statistical methods were used to predetermine sample size. The investigators were not blinded to allocation during experiments and outcome assessment.

**Plant material.** All plant materials used in this study were sourced from living or seed collections in the United Kingdom or United States. Due to biosecurity measures, we were not able to move living materials between the two countries. In our initial selection of material we relied upon species identifications that had already been made in the arboreta or seed banks within which the materials were held. For each of the accessions included in this study, we PCR amplified and Sanger sequenced the nuclear ribosomal internal transcribed spacer (ITS) region, following standard methods; forward and reverse sequences were assembled into

contigs using CLC Genomics Workbench v.8.5.1 (QIAGEN). As far as possible, the identity of all materials was verified by E. Wallander using morphology and ITS sequences, according to her classification of the genus<sup>25</sup>. This led to some redesignation of samples: of particular note, one of the three accessions of *F. pennsylvanica* that we genome sequenced was originally sampled as *F. caroliniana*, and the accession that we originally sampled and genome sequenced as *F. bungeana* was determined to be *F. ornus* (Supplementary Table 3). However, subsequent phylogenetic analysis that included allele sequences for this latter individual (see Distinguishing between different underlying causes of convergent patterns) indicated that it is likely to be a hybrid between the *F. ornus* lineage and another lineage within section *Ornus*, and therefore we designate it as *Fraxinus* sp. 1973-6204. We also designated an accession that was originally sampled for genome sequencing as *F. chinensis* as *Fraxinus* sp. D2006-0159 due to uncertainty regarding species delimitation. Furthermore, for genotype vel-4, which was redetermined as *F. pennsylvanica*, we have maintained its original species name (*F. velutina*). A list of all materials used in the study is shown in Supplementary Table 3, including initial identifications and subsequent identifications by E. Wallander, as well as details of voucher specimens.

**EAB resistance assays.** Twenty-six *Fraxinus* taxa (species, subspecies and one taxon of uncertain status) were collected for egg bioassay experiments (Supplementary Table 3). We aimed to test three clonal replicates (grafts or cuttings) of at least two genotypes of each species. For some taxa, fewer than two genotypes were available in the United States, and occasionally genotypes did not propagate well by graft or cutting so that seedlings from the same seedlot were used instead (details for each taxon are included in Supplementary Table 1). The majority of egg bioassays were conducted in 2015 and 2016, and groups of approximately 20 genotypes were conducted in each set (week within year; Supplementary Table 1) with one grafted ramet, cutting or seedling in each block and all ramets, cuttings and seedlings within the block randomized to location/order of assay. To facilitate comprehensive analysis, the same controls were repeated in each week (susceptible *F. pennsylvanica* genotype pe-37 and/or pe-39 and resistant *F. mandshurica* genotype 'mancana').

Trees were treated as uniformly as possible before inoculation. Adult beetles were reared and used for egg production as previously described<sup>6</sup>. Inoculations were performed in a greenhouse to keep conditions uniform for the duration of the assay, and to minimize predation of the eggs. We followed the EAB egg transfer bioassay method reported by Koch et al.<sup>6</sup>, which had previously been used on genotypes of *F. pennsylvanica* and *F. mandshurica*, with the changes noted below. The egg dose for each tree was determined according to the method of Duan et al.<sup>63</sup>, which takes into account the bark surface area. A target density of 400 eggs m<sup>-2</sup> was used; this density is above that reported to allow host defences to kill larvae in green ash, but is within the range where competition and cannibalism are minimized<sup>63</sup>. Twelve individual eggs, on a small strip cut from the coffee filter paper on which they were laid, were taped to each tree. The spacing was varied between eggs to maintain a consistent target dose (for example, eggs placed 7.5 cm apart on a stem of diameter 1.0–1.1 cm, to placement 3 cm apart on a stem of diameter 2.5–2.6 cm). The portion of the tree where eggs were placed was wrapped in medical gauze to protect it from jostling and egg predation. Past experiments have shown that egg assay results are not consistent on stems <1 cm in diameter. Due to size differences between some species, to achieve the target dose and avoid placing eggs where the stem diameter was <1 cm, occasionally <12 eggs were placed. A total of 2,199 egg bioassays (each egg represents one bioassay) were conducted on 61 different genotypes and a total of 206 ramets, cuttings or seedlings.

Occasional ramets, cuttings and/or seedlings were considered as assay failures if fewer than three larvae successfully entered the tree (that is, the effective egg dose was too low), or if there were other problems with the tree (too small diameter overall, cultivation issues and so on), and that replicate was excluded from analysis. The final number of ramets/cuttings/seedlings included for each taxon was as follows: *F. albicans* n = 9, *F. americana* n = 6, *F. angustifolia* subsp. *angustifolia* n = 10, *F. angustifolia* subsp. *oxycarpa* n = 6, *F. angustifolia* subsp. *syriaca* n = 8, *F. apertisquamifera* n = 8, *F. baroniana* n = 5, *F. biltmoreana* n = 6, *F. chinensis* n = 11, *F. cuspidata* n = 4, *F. excelsior* n = 5, *F. floribunda* n = 7, *F. lanuginosa* n = 3, *F. latifolia* n = 3, *F. mandshurica* n = 24, *F. nigra* n = 4, *F. ornus* n = 4, *F. paxiana* n = 7, *F. pennsylvanica* n = 39, *F. platypoda* n = 2, *F. profunda* n = 12, *F. quadrangulata* n = 6, *F. sieboldiana* n = 5, *Fraxinus* sp. D2006-0159 n = 2, *F. uhdei* n = 3, *F. velutina* n = 6. Four weeks after egg attachment, each egg was inspected to determine whether it had successfully hatched and if there were signs of the larva entering the tree. Larval entry holes, when detected, were marked to assist with future dissection. At 8 weeks, dissection of the entry site was performed and galleries made by larval feeding were carefully traced using a grafting knife to determine the outcome of each hatched egg. Health (dead or alive) and weight (in cases when larvae could be recovered intact) were recorded for each larva, and developmental instar was determined using measurements of head capsule and length<sup>64,65</sup>. Larvae that had been killed by host defence response were distinguished from those that had died from other causes, by examining the tissue immediately surrounding the larva for evidence of browning and/or callus formation (indicating a defence response), and by checking for the absence of evidence of any other

causes of death, including cannibalism, parasitism and fungal infection. The total number of eggs for which larvae successfully entered the tree and the outcome recorded was as follows: *F. albicans*  $n=63$ , *F. americana*  $n=57$ , *F. angustifolia* subsp. *angustifolia*  $n=101$ , *F. angustifolia* subsp. *oxycarpa*  $n=55$ , *F. angustifolia* subsp. *syriaca*  $n=59$ , *F. apertisquamifera*  $n=55$ , *F. baroniana*  $n=33$ , *F. biltmoreana*  $n=43$ , *F. chinensis*  $n=95$ , *F. cuspidata*  $n=32$ , *F. excelsior*  $n=36$ , *F. floribunda*  $n=37$ , *F. lanuginosa*  $n=24$ , *F. latifolia*  $n=31$ , *F. mandshurica*  $n=166$ , *F. nigra*  $n=30$ , *F. ornus*  $n=27$ , *F. paxiana*  $n=59$ , *F. pennsylvanica*  $n=403$ , *F. platypoda*  $n=21$ , *F. profunda*  $n=110$ , *F. quadrangulata*  $n=50$ , *F. sieboldiana*  $n=26$ , *Fraxinus* sp. D2006-0159  $n=25$ , *F. uhdei*  $n=33$ , *F. velutina*  $n=42$ .

Preliminary exploratory data analysis indicated that the proportion of 'tree-killed' (that is, larvae killed by tree defence response) and the proportion of live L4 larvae (number divided by the number of larvae that entered the tree) were the best variables to distinguish resistance versus susceptibility at the species level. We fitted a generalized linear mixed model to the proportion tree-killed and proportion L4 using the GLIMMIX procedure in SAS v.9.4. The final model specification is: proportion as a binomial distribution with a logit link function, species as a fixed effect and block/replicate nested within sequential week (week within year) as a random effect (this allowed for comprehensive analysis over years and weeks with correct variance/covariance restrictions to account for dependence of eggs within tree and independence of trees in different blocks). Non-significant ( $P \geq 0.05$ ) predictors for propagule type and egg density were eliminated from the final model. Least-squares means of tree-killed or L4 proportion were calculated with confidence intervals on the data scale (proportion).

**Genome size estimation by flow cytometry (FC).** We used FC to estimate the genome size of individuals used for whole-genome sequencing (Supplementary Table 4). *Fraxinus* samples from UK collections were prepared and analysed as described in Pellicer et al.<sup>66</sup> with the exception that 'general purpose isolation buffer'<sup>67</sup>, without the addition of 3% vinylpyrrolidone (PVP-40) and LB01 buffer<sup>68</sup>, was used for some samples. *Oryza sativa* ('IR-36'; DNA amount in the unreplicated gametic nucleus (1C) = 0.50 pg; ref.<sup>69</sup>) was used as an internal standard. For each individual analysed, two samples were prepared (from separate leaves or different parts of the same leaf) and two replicates of each sample run. *Fraxinus* samples from US collections were analysed using a Sysmex CyFlow Space flow cytometer, as described in Whittemore and Xia<sup>70</sup>; *Pisum sativum* ('Citrad'; 1C = 4.54 pg; ref.<sup>71</sup>) and *Glycine max* ('Williams 82'; 1C = 1.13 pg; ref.<sup>72</sup>) were used as internal standards. For each individual analysed, six samples were prepared (from separate leaves or different parts of the same leaf) and three samples run with each size standard.

**DNA extraction.** Total genomic DNA was extracted from fresh, frozen or silica-dried leaf or cambial material using either a cetyltrimethylammonium bromide extraction protocol, modified from Doyle and Doyle<sup>73</sup>, or a DNeasy Plant Mini or Maxi kit (QIAGEN).

**Genome sequencing and assembly.** For each of the 28 diploid individuals selected for whole-genome sequencing (Supplementary Table 3), sufficient Illumina sequence data were generated to provide a minimum of ~30-fold coverage of the 1C genome size, based on the C-value estimates obtained for the same individuals (see Genome size estimation by flow cytometry (FC)), or those of closely related taxa. Libraries with an average insert size of 300 or 350 bp, 500 or 550 bp and 800 or 850 bp were prepared from total gDNA by the Genome Centre at Queen Mary University of London, and the Centre for Genomic Research at the University of Liverpool. Paired-end reads of 125, 150 or 151 nucleotides were generated using the Illumina NextSeq 500, HiSeq 2500 and HiSeq 4000 platforms (see Supplementary Table 4 for the exact combination of libraries, read lengths and sequencing platforms used for each individual). For selected taxa, chosen to represent different sections within the genus, we also generated data from long mate-pair (LMP) libraries (Supplementary Table 4). LMP libraries with an average insert size of 3 and 10 kb were prepared from total gDNA by the Centre for Genomic Research at the University of Liverpool, and sequenced on an Illumina HiSeq 2500 to generate reads of 125 nucleotides to a depth of approximately tenfold coverage of the 1C genome size.

Initial assessment of sequence quality was performed for all read pairs from the short-insert libraries (300–850 bp inserts) using FastQC v.0.11.3 or v.0.11.5 ([www.bioinformatics.babraham.ac.uk/projects/fastqc/](http://www.bioinformatics.babraham.ac.uk/projects/fastqc/)). Reads were clipped using the fastx\_trimmer tool in the FASTX-Toolkit v.0.0.14 ([http://hannonlab.cshl.edu/fastx\\_toolkit/index.html](http://hannonlab.cshl.edu/fastx_toolkit/index.html)) to remove the first five to ten nucleotides of each read; for the NextSeq reads, the last five nucleotides were also clipped. Adaptor trimming was performed using cutadapt v.1.8.1 (ref.<sup>74</sup>) with the 'O' parameter set to 5 and using option 'b'; default settings were used for all other parameters. Quality trimming and length filtering were performed using Sickle v.1.33 (ref.<sup>75</sup>) with the 'pe' option and the following parameter settings: -t sanger -q 20 -l 50, and default settings for other parameters. This yielded quality-trimmed paired and singleton reads with a minimum length of 50 nucleotides; only intact read pairs were used for downstream analyses.

For the LMP libraries, duplicate reads were removed using NextClip v.1.3.1 (<https://github.com/richardmleggett/nextclip>) with the -remove\_duplicates

parameter specified and default settings for all other parameters. Adaptor trimming was performed using cutadapt v.1.10 (ref.<sup>74</sup>); junction adaptors were removed from the start of reads by running option 'g', with the adaptor sequence anchored to the beginning of reads with the '^' character and the following settings for other parameters: -O 10 -n 2 -m 25. Other adaptor trimming was performed using option 'a', with further parameters set to the same values as specified above. Quality trimming was performed with PRINSEQ-lite v.0.20.4 (ref.<sup>76</sup>), with the following parameter settings: -trim\_qual\_left 20 -trim\_qual\_right 20 -trim\_qual\_window 20 -trim\_tail\_left 101 -trim\_tail\_right 101 -trim\_ns\_left 1 -trim\_ns\_right 1 -min\_len 25 -min\_qual\_mean 20 -out\_format 3.

De novo genome assembly was performed for each individual using CLC Genomics Workbench v.8.5.1 (QIAGEN). All trimmed read pairs from the short-insert libraries were used for assembly under the following parameter settings: automatic optimization of word (k-mer) size; maximum size of bubble to try to resolve, 5,000; and minimum contig length, 200 bp. Assembled contigs were joined to form scaffolds using SSPACE v.3.0 (ref.<sup>77</sup>) with default parameters, incorporating data from mate-pair libraries with 3- and 10-kb insert sizes where available. Library insert lengths were specified with a broad error range ( $\pm 40\%$ ). Gaps in the SSPACE scaffolds were filled using GapCloser v.1.12 (ref.<sup>78</sup>) with default parameters. Average library insert lengths were specified using the estimates produced by SSPACE during scaffolding. Scaffolding and gap filling were not performed for individuals lacking data from libraries with an insert size  $\geq 800$  bp (only a single-insert size library was available for these taxa; Supplementary Table 4). We did not attempt extraction of sequences of organellar origin from the assemblies, or separate assembly of plastid and mitochondrial genomes.

Sequences within the assemblies corresponding to the Illumina PhiX control library were identified via BLAST. A PhiX bacteriophage reference sequence (GenBank accession no. CP004084) was used as a query for BLASTN searches, implemented with the BLAST+ package v.2.5.0+ (ref.<sup>79</sup>), against the genome assembly for each taxon with an  $e$ -value cut-off of  $1 \times 10^{-10}$ . Sequences matching the PhiX reference sequence at this threshold were removed from the assemblies. We used the assemblathon\_stats.pl script ([https://github.com/ucdavis-bioinformatics/assemblathon2-analysis/blob/master/assemblathon\\_stats.pl](https://github.com/ucdavis-bioinformatics/assemblathon2-analysis/blob/master/assemblathon_stats.pl)) with default settings to obtain standard genome assembly metrics, including N50. BUSCO v.2.0 (ref.<sup>80</sup>) was used to assess the content of the genome assemblies. The 'embryophyta\_odb9' lineage was used, and analyses run with the following parameter settings: --mode genome -c 8 -e 1e-05 -sp tomato.

**Gene annotation and orthologue inference.** To annotate genes in the newly assembled *Fraxinus* genomes, we used a similarity-based approach implemented in GeMoMa<sup>81</sup> with genes predicted in the *F. excelsior* BATG0.5 assembly as a reference set. We used the 'Full Annotation' gff file for BATG0.5 (*Fraxinus excelsior*\_38873\_TGAC\_v2.longestCDStranscript.gff3; available from <http://www.ashgenome.org/transcriptomes>), which contains the annotation for the single longest splice variant for each gene model. This annotation file also includes preliminary annotations for genes within the organellar sequences (gene models FRAEX38873\_v2\_000400370-FRAEX38873\_v2\_000401330) not reported in the publication of the reference genome<sup>82</sup>; none of the sets of putative orthologues used for the species-tree inference or molecular convergence analysis (see below) include these preliminary organellar models from the BATG0.5 reference assembly. The Extractor tool from GeMoMa v.1.3.2 was used to format the data from the reference genome (gff and assembly files), with the following parameter settings: v=true f=false r=true Ambiguity=AMBIGUOUS. To obtain information on similarity between the reference gene models and sequences in the newly assembled *Fraxinus* genomes, we performed TBLASTN searches of individual exons (that is, the 'cds-parts' file generated by Extractor) against the assembly file for each individual with BLAST+ v.2.2.29+ (ref.<sup>79</sup>). makeblastdb was used to format each assembly file into a BLAST database with the following parameter settings: -out./blastdb -hash\_index -dbtype nucl. tblastn was then run with the 'cds-parts.fasta' file as the query, with the following parameter settings: -num\_threads 24 -db./blastdb -evaluate 1e-5 -outfmt '6 std sallsqid score nident positive gaps ppos qframe sframe qseq qlen len sallites' -db\_gencode 1 -matrix BLOSUM62 -seg no -word\_size 3 -comp\_based\_stats F -gapopen 11 -gapextend 1 -max\_hsp 0. Finally, the GeMoMa tool itself was run for each individual, with the TBLASTN output, cds-parts file and de novo assembly file as input, with the 'e' parameter set to '1e-5' and default settings for all other parameters. Because GeMoMa generates predictions for each reference gene model separately, the output may contain gene models that are identical, or overlapping, positions, especially for genes belonging to multi-gene families (<http://www.jstacs.de/index.php/GeMoMa#FAQs>). Because the presence of these redundant gene models does not prevent the correct inference of sets of orthologues with Orthologous Matrix (OMA; see below), we opted to retain the predicted proteins from all gene model predictions generated by GeMoMa for input into OMA. The gffread utility from cufflinks v.2.2.1 (ref.<sup>82</sup>) was used to generate the CDS for each gene model; getfasta from bedtools v.2.26.0 (ref.<sup>83</sup>) was used to generate full-length gene sequences (that is, including introns, where present), with the '-name' and '-s' options invoked.

To identify sets of putatively orthologous sequences, we used OMA standalone v.2.0.0 (refs.<sup>84,85</sup>) to infer OMA groups (OGs) and hierarchical orthologous groups

(HOGs). To the protein sets from the 29 diploid *Fraxinus* genome assemblies (the 28 newly generated assemblies plus the existing reference assembly for *F. excelsior*), we added proteomes from three outgroup species: *O. europaea* (olive), which belongs to the same family as *Fraxinus* (Oleaceae); *E. guttata* (monkey flower, formerly known as *Mimulus guttatus*), which belongs to the same order as *Fraxinus* (Lamiales); and *S. lycopersicum* (tomato), which belongs to the same major eudicot clade as *Fraxinus* (lamnids). For *O. europaea*, we used the annotation for v.6 of the genome assembly<sup>28</sup>; the file containing proteins for the single longest transcript per gene (OE6A.longestpeptide.fa) was downloaded from [https://denovo.cnag.cat/olive\\_data](https://denovo.cnag.cat/olive_data). For *E. guttata* we used the annotation for v.2.0 of the genome assembly<sup>29</sup>; the file containing proteins for the primary transcript per gene (Mguttatus\_256\_v2.0.protein\_primaryTranscriptOnly.fa) was downloaded from Phytozome 12 (ref. <sup>86</sup>). For *S. lycopersicum* we used the annotation for the vITAG2.4 genome assembly; the file containing proteins for the primary transcript per gene (Slycopersicum\_390\_ITAG2.4.protein\_primaryTranscriptOnly.fa) was downloaded from Phytozome 12.

Fasta formatted files containing the protein sequences from all 32 taxa were used to generate an OMA formatted database. An initial run of OMA was performed using the option to estimate the species-tree from the OGs (option 'estimate' for the SpeciesTree parameter); we set the InputDataType parameter to 'AA' and left all other parameters with the default settings. The species-tree topology from the initial run was then modified in FigTree v.1.4.2 (<http://tree.bio.ed.ac.uk/software/figtree/>) to re-root it on *S. lycopersicum*; nodes within the main clades of *Fraxinus* spp. (corresponding to sections recognized in the taxonomic classification<sup>27</sup>) were also collapsed, and relationships between these major clades and any individual *Fraxinus* not placed into a clade were collapsed. OMA was then rerun with the modified species-tree topology specified in Newick format through the SpeciesTree parameter; the species-tree topology is used during the inference of HOGs (<https://omabrowser.org/standalone/>), and does not influence the OGs obtained.

**Species-tree inference.** To obtain a more robust estimate of the species-tree for *Fraxinus* (compared with that estimated by OMA, see above, or existing species-tree estimates based on very few independent loci<sup>87,88</sup>), we selected clusters of putatively orthologous sequences from the results of the OMA analysis. OGs containing protein sequences from all 32 taxa (29 diploid *Fraxinus* and three outgroups) were identified and corresponding CDSs aligned with MUSCLE<sup>89</sup> via GUIDANCE2 (ref. <sup>90</sup>) with the following parameter settings: --program GUIDANCE --msaProgram MUSCLE --seqType codon --bootstraps 100, and default settings for other parameters. Datasets where sequences were removed during the alignment process (identified by GUIDANCE2 as being unreliably aligned) or that failed to align due to the presence of incomplete codons (that is, the sequence length was not divisible by three) were discarded. Alignment files with unreliably aligned codons removed (that is, including only codons with GUIDANCE scores above the 0.93 threshold for the 'colCutoff' parameter) were used for downstream analyses. A custom Perl script was used to identify alignments <300 characters in length or that included sequences with <10% non-gap characters; these datasets were excluded from further analysis.

The remaining alignment files were converted from fasta to nexus format with the seqret tool from EMBOSS v.6.6.0 (ref. <sup>91</sup>). MrBayes v.3.2.6 (ref. <sup>92</sup>) was used to estimate gene-trees with the following parameter settings: lset nst=mixed rates=gamma; prset statefreqpr=dirichlet(1,1,1,1); mcmc nruns = 2 nchains = 4 ngen = 5000000 samplefreq = 1,000; Sumt Burninfrac = 0.10 Contype = Allcompat; Sump Burninfrac = 0.10. Diagnostics (average s.d. of split frequencies (ASDSF) and for post-burn-in samples, potential scale reduction factor for branch and node parameters and effective sample size (ESS) for tree length) were examined to ensure that runs for a given locus had reached convergence and that a sufficient number of independent samples had been taken. We discarded datasets where the ASDSF was  $\geq 0.010$ ; all remaining datasets had an ESS for tree length in excess of 500.

We used BUCKy v.1.4.4 (refs. <sup>93,94</sup>) to infer a species-tree for *Fraxinus* via Bayesian concordance analysis, which allows for the possibility of gene-tree heterogeneity (arising from biological processes such as hybridization, which is reported to occur within *Fraxinus*<sup>87</sup>) but which makes no assumptions regarding the reason for discordance between different genes<sup>94</sup>. First, mbsum v.1.4.4 (distributed with BUCKy) was run on the MrBayes tree files for each locus, removing the trees sampled during the first 500,000 generations of each run as a burn-in. We then used the output from mbsum to select the most informative loci on the basis of the number of distinct tree topologies represented in the sample of trees from MrBayes; loci with a maximum of 2,000 distinct topologies were retained. BUCKy was then run on the combined set of mbsum output files for all retained loci under ten different values for the  $\alpha$  parameter (0.1, 1, 2, 5, 10, 20, 100, 500, 1,000,  $\infty$ ), which specifies the a priori level of discordance expected between loci. Each analysis with a different  $\alpha$  setting was performed with different random seed numbers (parameters -s1 and -s2) and the following other parameter settings: -k 4 -m 10 -n 1000000 -c 2. Run outputs were checked to ensure that the average s.d. of the mean sample-wide concordance factors (CF); the sample-wide CF is the proportion of loci in the sample with that clade<sup>95</sup>) was <0.01. The same primary concordance tree (PCT; the PCT comprises compatible clades found in the highest

proportion of loci and represents the main vertical phylogenetic signal<sup>94</sup>) and CFs for each node were obtained for all settings of  $\alpha$ .

We also repeated the species-tree inference using full-length sequences (that is, including introns, where present); alignment and gene-tree inference were carried out as described above, with the exception that the --seqType parameter in GUIDANCE2 was set to 'nuc'. BUCKy analyses were performed as described above. The same PCT was obtained for all settings of  $\alpha$ , with only minor differences in the mean sample-wide concordance factors. The PCT inferred from the full-length datasets was also identical to that obtained from the CDS analyses; we based our final species-tree estimate on the output of the full-length analyses, due to the presence of a larger number of informative loci within these datasets.

One of the informative loci from the CDS analyses (that is, those with  $\leq 2,000$  distinct topologies within their gene-tree sample), and three of those from the full-length analyses, were subsequently found to be among our filtered set of candidate loci with evidence of convergence between EAB-resistant taxa (see below). To test whether the signal from these loci had an undue influence on species-tree estimation, we excluded them and repeated the BUCKy analyses for the CDS and full-length datasets as described above, with the exception that only an  $\alpha$  parameter setting of 1 was used. The PCTs obtained from these analyses were identical to those inferred when including all datasets, with minor (that is, 0.01) differences in CFs.

In addition to the analysis including all taxa, we also performed BUCKy analyses for 13 taxa selected for inclusion in the grand-conv analyses and for the subsets of 10–12 taxa for each of the three grand-conv pairwise comparisons (see Analysis of patterns of sequence variation consistent with molecular convergence). OGs containing protein sequences from all 13 taxa (ten *Fraxinus* and three outgroups) were identified and corresponding CDSs for these 13 taxa aligned with MUSCLE via GUIDANCE2, as described above. We also identified and aligned CDSs for all additional OGs that did not include all 13 taxa but which contained proteins for all taxa in one of the subsets used for the pairwise comparisons. Filtering of alignments and gene-tree inference were carried out as described above for the full set of 32 taxa. BUCKy was run separately with the MrBayes tree samples for loci that included all 13 taxa and for additional loci that included taxa for each of the three smaller subsets for the grand-conv pairwise comparisons. BUCKy analyses were performed as described above, with the exception that no filtering of loci on the basis of number of distinct gene-tree topologies was performed, a value of between 2 and 35 was used for the -m parameter, and only a single  $\alpha$  parameter setting, of 0.1, was used. Topologies for the PCTs for the set of 13 taxa and subsets of 10–12 taxa were congruent with each other and with the PCTs inferred from analyses including all taxa, for all nodes with a CF of  $\geq 0.38$ .

#### Analysis of patterns of sequence variation consistent with molecular convergence

To test for signatures of putative molecular convergence in protein sequences we used a set of diploid taxa representing the extremes of variation in susceptibility to EAB, as assessed by our egg bioassays. By limiting our analysis at this stage to this subset of taxa we could also maximize the number of genes analysed, because with increasing taxon sampling the number of OGs for which all taxa are represented decreases. This set comprised: five highly susceptible taxa (*F. americana*, *F. latifolia*, *F. ornus*, *F. pennsylvanica* (susceptible genotype) and *F. velutina*), five resistant taxa (*F. baroniana*, *F. floribunda*, *F. mandshurica*, *F. platypoda* and *Fraxinus* sp. D2006-0159) and three outgroups (*O. europaea*, *E. guttata* and *S. lycopersicum*).

Of the ten *Fraxinus* genome assemblies included in the convergence analysis, six were from genotypes that were also included in the EAB resistance assays outlined above (*F. americana* am-6, *F. baroniana* bar-2, *F. floribunda* flor-ins-12, *F. pennsylvanica* pe-48, *F. platypoda* spa-1 and *Fraxinus* sp. D2006-0159 F-unk-1). For the other four, we could not test the exact individual for which we sequenced the genome but, instead, relied on results of bioassays of other individuals in the same species.

We used the following three pairwise comparisons to screen for loci showing amino acid convergence between resistant taxa:

1. *F. mandshurica* (section *Fraxinus*) versus *F. platypoda* (incertae sedis)
2. *F. mandshurica* (section *Fraxinus*) versus *F. baroniana*, *F. floribunda* and *Fraxinus* sp. D2006-0159 (section *Ornus*)
3. *F. platypoda* (incertae sedis) versus *F. baroniana*, *F. floribunda* and *Fraxinus* sp. D2006-0159 (section *Ornus*).

The more divergent homologous amino acid sequences are between species, the more likely it is that a convergent amino acid state will occur by chance<sup>96</sup>. In order to account for this, we compared the posterior expected numbers of convergent versus divergent substitutions across all pairs of independent branches of the *Fraxinus* species-tree for the selected taxa using a beta-release of the software Grand-Convergence v.0.8.0 (hereafter referred to as grand-conv; <https://github.com/dekoning-lab/grand-conv>). This software is based on PAML 4.8 (ref. <sup>96</sup>) and is a development of a method used by Castoe et al.<sup>95</sup>. It has also been used recently, for example, to detect convergence among flowering plant lineages with crassulacean acid metabolism<sup>22</sup>.

For input into grand-conv, we used the same OGs that were the basis of the BUCKy analyses of the 13 taxa selected for the grand-conv analyses, and of analyses

of the subsets of taxa for the pairwise comparisons (see Species-tree inference). Therefore, as well as meeting the criterion of including all relevant taxa, the OGs analysed with grand-conv had also successfully passed the alignment, filtering and gene-tree inference steps. A set of input files was created for each of the three pairwise comparisons that, where present, removed any taxa from the other resistant lineage from the alignments generated by GUIDANCE2. Alignment files were then converted from fasta to phylip format using the Fasta2Phylip.pl script (<https://github.com/josephhughes/Sequence-manipulation>). To ensure that sequences from each taxon appeared in a consistent order across all datasets (which is necessary for automating the generation of site-specific posterior probabilities for selected branch pairs with grand-conv), the phylip formatted files were sorted using the unix command 'sort' before input into grand-conv. Species-tree files for each of the pairwise comparisons were created from the Newick formatted PCTs for the relevant taxon sets generated with BUCKy, with the trees edited to root them on *S. lycopersicum*.

For the grand-conv analysis, 'gc-estimate' was first run on the full set of input alignment files for each pairwise comparison, with the following parameter settings: --gencode=0 --aa-model=lg --free-bl=1, specifying the appropriate species-tree file for each of the pairwise comparisons. Next, 'gc-discover' was run to generate site-specific values for the posterior probability of divergence or convergence for the branch pairs of interest; the numbers for the branch pairs relating to the resistant taxa were established from an initial run of 'gc-estimate' and 'gc-discover' on a single input file, and then specified when running 'gc-discover' on all input files using the --branch-pairs parameter. A custom Perl script was then used to filter the output files containing the site-specific posterior probabilities to identify loci with at least one amino acid site where the posterior probability of convergence was higher than divergence and passed a threshold of  $\geq 0.9000$ . For this filtered set of datasets with a high posterior probability of convergence at at least one site, we checked whether the 'excess' convergence, as measured from the residual values from the non-parametric errors-in-variables regression calculated by grand-conv, was higher for the branch pair of interest than for any other independent pair of branches within the species-tree (that is, the highest residual was found for the resistant branch pair). Only loci where the highest excess convergence was found in the resistant branch pair were retained for further analysis.

**Refining the initial list of candidate loci identified with grand-conv.** For the set of loci with evidence of convergence between at least one pair of resistant lineages from the grand-conv analyses, we applied additional tests to assess the robustness of the pattern of shared amino acid states. Specifically, we checked for the potential impact of alignment uncertainty and orthology/paralogy conflation. For each candidate locus, we identified HOGs from the OMA analysis to which the sequences for the candidate locus belong. These HOGs include sequences for an expanded set of taxa (see Species-tree inference) and may represent a single gene for all taxa (that is, a set of orthologous sequences) or several closely related paralogues<sup>64</sup>. Protein sequences for HOGs were aligned with GUIDANCE and gene-tree inference conducted with MrBayes, as described above for the OMA putative orthologous groups, with the exception that the --seqType parameter in GUIDANCE was set to 'aa' and in MrBayes the preset parameter was set to 'prset aamodelpr = mixed'. Any MrBayes analyses that had not converged after 5 million generations (ASDSF  $\geq 0.01$ ) were run for an additional 5 million generations. The multiple sequence alignment and gene-tree estimates were then used to refine the initial list of candidate loci. Loci were dropped from the initial list of candidates if either of the following applied:

1. In the filtered MSA alignment generated by GUIDANCE, the site/sites where convergence was detected were not present, indicating that they were in a part of the protein sequences that can not be aligned reliably.
2. In the consensus gene-tree estimated by MrBayes, there was evidence that the sequences within which convergence was initially detected (that is, those belonging to the ten *Fraxinus* species included in the grand-conv analysis) belong to different paralogues and that the pattern of convergence could be explained by sequences with the 'convergent' state belonging to one paralogue and the 'non-convergent' state belonging to another paralogue. We also excluded two loci belonging to large gene families (>10 copies) for which the MrBayes analyses failed to reach convergence within a reasonable time ( $\leq 10$  million generations) and for which orthology/paralogy conflation could therefore not be excluded.

Additionally, for the set of loci remaining, we checked for errors in the estimation of gene models (including in the reference models from *F. excelsior*) that might impact the results of the grand-conv analyses. Specifically, we dropped from our list any loci where the amino acid sites with evidence of convergence were found to be outside of an exon, or outside of the gene itself, following manual correction of the gene model prediction.

**Analysis of variants within candidate loci.** To assess the possible impact of allelic variants (that is, those not represented in the genome assemblies) on patterns of amino acid variation associated with the level of EAB susceptibility in *Fraxinus*, we called variants (single-nucleotide polymorphisms and indels) and predicted

their functional effects. For each sequenced *Fraxinus* individual, trimmed read pairs from the short-insert Illumina libraries were mapped to the de novo genome assembly for the same individual using Bowtie2 v.2.3.0 (ref. <sup>97</sup>) with the 'very-sensitive' preset and setting of 'maxins' to 1,000–1,400, depending on the libraries available for that individual. Read mappings were converted to BAM format and sorted using the 'view' and 'sort' functions in samtools v.1.4.1 (ref. <sup>98</sup>). Before variant calling, duplicate reads were marked and read group information added to the BAM files using the 'MarkDuplicates' and 'AddOrReplaceReadGroups' functions in picard tools v.1.139 (<http://broadinstitute.github.io/picard>).

Variant discovery was performed with gatk v.3.8 (ref. <sup>99</sup>). BAM files were first processed to realign indels using the 'RealignerTargetCreator' and 'IndelRealigner' tools. An initial set of variants was called for each individual using the 'HaplotypeCaller' tool, setting the -stand\_call\_conf parameter to 30. VCF files from the initial variant calling were then hard filtered to identify low-confidence calls by running the 'VariantFiltration' tool with the -filterExpression parameter set as follows: 'QD < 5.0 | FS > 20.0 | MQ < 30.0 | MQRankSum < -8.0 | MQRankSum > 8.0 | ReadPosRankSum < -2.0 | ReadPosRankSum > 2.0' (hard-filtering thresholds were selected by first plotting the values for FS, MQ, MQRankSum, QD and ReadPosRankSum from the initial set of variant calls for selected individuals, representing the range of different sequence and library types used, to visualize their distribution and then modifying the default hard filtering thresholds in line with the guidance provided in the gatk document 'Understanding and adapting the generic hard-filtering recommendations' (<https://software.broadinstitute.org/gatk/documentation/article.php?id=6925>)).

Variants passing the gatk hard-filtering step (excluding those where alleles had not been called; GT field=.) were further analysed using SnpEff v.4.3u (ref. <sup>100</sup>), in order to predict the impact of any variants within genes identified from the convergence analyses. Custom genome databases were built for each individual using the SnpEff command 'build' with option '-gtf22'; a gtf file containing the annotation for all genes, as well as fasta files containing the genome assembly, CDS and protein sequences, were used as input. Annotation of the impact of variants was performed by running SnpEff with genes of interest specified using the -onlyTx parameter and the -ud parameter set to '0' to deactivate annotation of up- or downstream variants. For each variant predicted to alter the protein sequence, the position of the change was checked to determine whether it occurred at a site at which evidence for convergence had also been detected and, if so, whether it involved a change to or from the state identified as being convergent between resistant taxa.

We also used the SnpEff results to check for evidence of mutations that could indicate the presence of non-functional gene copies in certain taxa. Variants annotated as 'stop gained', 'start lost' or 'frameshift' in the ten ingroup taxa included in the convergence analysis were manually examined to confirm that they would result in a disruption to the expected protein product, and that they were not false positives caused by errors in gene model estimation (for example, mis-specification of intron/exon boundaries). We checked further for evidence of truncation of sequences or errors in the GeMoMa gene model estimation that might have been caused by loss-of-function mutations outside of the predicted exon boundaries (for example, such as the loss of a start codon, which could cause GeMoMa to predict an incomplete gene model if an alternative possible start codon was present downstream). Such putative loss-of-function mutations would not be detected as such by SnpEff because they would be interpreted as low-impact intergenic or intron variants.

We used WhatsHap v.0.15 (ref. <sup>101</sup>) to perform read-based phasing of alleles for loci with evidence of multiple variants within them. Input files for phasing in each taxon consisted of the fasta formatted genome assembly, VCF file containing variants passing the gatk hard-filtering step and BAM file from Bowtie2 with duplicates marked and indels realigned (that is, as input into variant calling with gatk—see above). The WhatsHap 'phase' tool was run with the following parameter settings: --max-coverage 20 --indels; only contigs/scaffolds containing the genes of interest were phased (specified using the '--chromosome' option). For loci with evidence of variants within the CDS, we used the output of WhatsHap to generate fully or partially phased allele sequences. The SnpSift tool from SnpEff v.4.3u (ref. <sup>100</sup>) was used to select variants that alter the CDS from the annotated VCF file generated by SnpEff, and the positions of these variants were checked against the WhatsHap output to determine whether they fell within phased blocks. For each gene, the number and size (that is, number of phased variants encompassed) of each phased block were found and a custom Perl script used to select the largest (or joint largest) block for genes with at least one block spanning multiple phased variants within the CDS. Details of phased variants impacting the CDS within the selected blocks, and of variants for genes with only a single variant within the CDS (which were not considered for phasing with WhatsHap, but for which the CDS for separate alleles can be generated), were extracted from the WhatsHap output VCF file; these selected variants were then applied to the gene sequences from each genome assembly to generate individual alleles that are fully or partially phased within the CDS. The 'faidx' function in samtools v.1.6 (ref. <sup>98</sup>) was used to extract the relevant subsequences from the genome assembly files, and the 'consensus' command in bcftools v.1.4 (<http://www.htslib.org/doc/bcftools-1.4.html>) was used to obtain the sequence for each allele with the '-H 1' and '-H 2' options. In cases where the selected phased block also spans unphased variants, both sequences output by bcftools will

have the state found in the original genome assembly at these sites, as they will for any variants outside of the selected phased block. The revseq and descseq tools from EMBOSS v.6.6.0 (ref. <sup>91</sup>) were used to reverse complement the sequences for any genes annotated on the minus strand and to rename the output sequences. Phased alleles were used for further phylogenetic analysis of candidate loci (see below); phasing results were also used to check loci with multiple potential loss-of-function mutations within a single individual, to establish whether the mutations are on the same or different alleles. We discounted any cases of potential loss-of-function mutations where multiple frameshifts occurring in close proximity on the same allele resulted in maintenance of the correct reading frame.

To check for polymorphism within *F. excelsior* at sites with evidence of convergence, we examined the combined BAM file generated from mapping Illumina HiSeq reads from 37 individuals from different European provenances (the European Diversity Panel) to the *F. excelsior* reference genome (BATG0.5) by Sollars et al.<sup>27</sup>. Duplicate reads were removed from the BAM file using the 'MarkDuplicates' function in picard tools v.1.139 (<http://broadinstitute.github.io/picard>), with the REMOVE\_DUPLICATES option set to 'true'. Selected contigs (containing the genes of interest) were extracted from the BAM file using the 'view' function in samtools v.1.6 (ref. <sup>98</sup>) and visualized with Tablet v.1.17.08.17 (ref. <sup>102</sup>); evidence for polymorphism was observed directly from the reads and variants was recorded only if supported by at least 10% of reads at that site. Loci OG39275 and OG46977 were excluded from this analysis due to errors in the reference gene models, possibly arising from misassembly, which meant that the sites homologous to those with evidence of convergence between EAB-resistant taxa could not be identified (see Supplementary Table 5 for more details).

#### Distinguishing between different underlying causes of convergent patterns.

To test whether evidence of convergence found by grand-conv might actually be due to taxa sharing the same amino acid variant as a result of introgression or ILS, we conducted phylogenetic analyses of coding DNA sequences for the candidate loci to infer their gene-trees. We checked to see whether sequences with apparently convergent residues group together, even when nucleotides encoding those residues are removed. The CDSs for the refined set of candidate loci were aligned with MUSCLE via GUIDANCE2 and alignment files with unreliably aligned codons removed were used for downstream analyses, as described above (see Species-tree inference); none of datasets had sequences that were identified by GUIDANCE2 as being unreliably aligned. OG40061 failed to align due to the presence of an incomplete codon at the end of the reference gene model from *F. excelsior*; we trimmed the final 2 bp from the *F. excelsior* sequence and reran GUIDANCE2 using this modified file.

Phased allele sequences generated using the WhatsHap results (see Analysis of variants within candidate loci) were added to the CDS alignments using MAFFT v.7.310 (ref. <sup>103</sup>) with the options '-add' and '-keeplength', in order to splice out any introns present in the phased sequences and maintain the original length of the CDS alignments. For any taxa for which phased sequences had been added, the original unphased sequence was removed from the alignment.

If intragenic recombination has taken place, gene-trees inferred from the CDS alignments may fail to group together the sequences with evidence of convergence even in cases where the convergent pattern is due to ILS or introgressive hybridization. This is because the phylogenetic signal from any non-recombinant fragments of alleles derived from ILS or introgressive hybridization may not be sufficiently strong to override that from fragments of alleles that have not been subject to these processes. To account for this possibility, we used hyphy v.2.3.1 4.20181030beta(MPI)<sup>104</sup> to conduct recombination tests with GARD<sup>105</sup> with the following parameter settings: 012345 'General Discrete' 3. Where GARD found significant evidence for a recombination breakpoint ( $P < 0.05$ ), we partitioned the alignment into non-recombinant fragments for phylogenetic analysis.

Alignment files were converted to nexus format and gene-trees estimated with MrBayes, as described above (see Species-tree inference). We checked the ASDSF and used Tracer v.1.6.0 (<http://beast.bio.ed.ac.uk/Tracer>) to inspect the ESS values for each parameter from the post-burn-in samples and to confirm that the burn-in setting (that is, discarding the first 10% of samples) was sufficient; in cases where runs had not converged after 5 million generations (ASDSF  $\geq 0.010$ ), additional generations were run until ASDSF  $< 0.010$  was reached. We examined the consensus trees generated by MrBayes to look for evidence that sequences sharing the amino acid states inferred as convergent by grand-conv cluster together in the gene-tree, in conflict with relationships inferred in the species-tree for *Fraxinus*. In cases where evidence of such clustering was found, the codon(s) corresponding to the amino acid site(s) at which evidence of convergence was detected were excluded and the MrBayes analysis repeated. In cases where sequences that have the 'convergent' amino acid group together in the gene-tree even after the codon(s) for the relevant site(s) have been excluded, we concluded that the evidence of convergence detected by grand-conv is more likely to be due to introgressive hybridization or ILS. We also examined the gene-tree topologies to assess whether any of the amino acid states identified as convergent by grand-conv is more likely to be the ancestral state for *Fraxinus*.

**Further characterization of candidate loci.** To identify the gene from *A. thaliana* that best matches each of the candidate loci in our refined set, we conducted a

TBLASTN search of the *F. excelsior* protein sequence belonging to the relevant OGs against the *A. thaliana* sequences in the nr/nt database in GenBank<sup>106</sup> and selected the hit with the lowest *e*-value. In cases where the OG lacked a sequence from *F. excelsior*, we used the protein sequence from *F. mandshurica* as the query for the TBLASTN search instead.

We also checked for the presence of the *F. excelsior* sequences within the OrthoMCL clusters generated by Sollars et al.<sup>27</sup> to determine whether they were associated with the same *A. thaliana* genes as identified by BLAST. We obtained information on the function of the best-matching *A. thaliana* genes from The Arabidopsis Information Resource (<https://www.arabidopsis.org>) and the literature. The OrthoMCL analysis conducted by Sollars et al.<sup>27</sup> also included a range of other plant species, including *S. lycopersicum* (tomato) and the tree species *Populus trichocarpa* (poplar). Because tomato is much more closely related to *F. excelsior* than is *A. thaliana*, and poplar is also a tree species, the function of the genes in these taxa may provide a better guide to the function of the *F. excelsior* genes. We therefore also checked the OrthoMCL clusters containing our candidate *F. excelsior* genes to identify putative orthologues, or close paralogues, from tomato and poplar. In cases where the OrthoMCL cluster included multiple tomato or poplar genes, we focused attention on the tomato sequence that also belonged to the OMA group as the putative orthologue of our *F. excelsior* gene in that species. For poplar, we looked for information on all sequences unless there were a large number in the cluster (more than four). We searched for literature on the function of the tomato and poplar genes, using the gene identifiers from the versions of the genome annotations used for the OrthoMCL analysis<sup>27</sup>, and also looked for information on PhytoMine, in Phytozone 12 (ref. <sup>86</sup>).

To further clarify the orthology/paralogy relationships between our candidates and genes from other species, we conducted phylogenetic analysis of the relevant OrthoMCL clusters from Sollars et al.<sup>27</sup> for selected loci. Protein sequences belonging to each OrthoMCL cluster were aligned and gene-trees inferred using GUIDANCE2 and MrBayes, respectively, as described above for OGs and HOGs. For the OrthoMCL cluster relating to OG15551, following an initial MrBayes analysis we removed two incomplete sequences (Migut.O00792.1.p and GSVIVT01025800001, which were missing >25% of characters in the alignment) and two divergent sequences from *A. thaliana* (AT1G74540 and AT1G74550), which are known to derive from a Brassicales-specific retroposition event and subsequent Brassicaceae-specific tandem duplication<sup>107</sup>; the alignment and phylogenetic analysis was then repeated for the reduced dataset.

For OG15551, we generated a sequence logo for regions of the protein containing sites at which evidence of convergence was detected. We obtained putatively homologous sequences by downloading the fasta file for the OMA group (OMA Browser fingerprint YGPIYSF<sup>108</sup>) containing the *A. thaliana* CYP98A3 gene (AT2G40890); the sequences were filtered to include only those from angiosperms, with a maximum of one sequence per genus retained (29 genera in total). To this dataset, we added the OG15551 protein sequences for *F. mandshurica* and *F. pennsylvanica* pe-48 and manually aligned the regions containing the relevant sites (positions 208–218 and 474–482 in the *F. excelsior* FRAEX38873\_v2\_000261700 reference protein). We used WebLogo v.3.7.3 (ref. <sup>109</sup>) without compositional adjustment to generate logos for each of these regions.

**GO term enrichment analysis.** To test for the possibility of over-representation of particular functional categories among the candidate loci in our refined set, compared with the complete set of genes used as input for the convergence analyses, we conducted GO enrichment tests. Fisher's exact tests with the 'weight' and 'elim' algorithms, which take into account the GO graph topology<sup>110</sup>, were run using the topGO package<sup>111</sup> (v.2.32.0) in R v.3.5.1 (ref. <sup>112</sup>). We created a 'genes-to-GOs' file for the complete set of *F. excelsior* gene models included in the grand-conv analyses ( $n = 3,658$ ), using GO terms from the existing functional annotation for the reference genome (Sollars et al.<sup>27</sup>); only the single longest transcript per gene (see <http://www.ashgenome.org/transcriptomes>) was included and, for any OMA groups lacking an *F. excelsior* sequence, we used the reference model referred to by the majority of other *Fraxinus* sequences in the group (that is, as indicated in the GeMoMa gene model names). We also created a list of *F. excelsior* reference gene models belonging to our refined set of candidate loci ( $n = 53$ ); again, for any OMA groups lacking an *F. excelsior* sequence, we used the reference model referred to by the majority of other *Fraxinus* sequences in the group. The complete list of *F. excelsior* reference genes included in the grand-conv analyses, and their associated GO terms, was used as the background against which the list of gene models from the refined set of candidate loci was tested. Fisher's exact test was run separately, with each of the algorithms, to check for enrichment of terms within the biological process, molecular function and cellular component domains.

**Protein modelling.** The servers SignalP 5.0 (ref. <sup>113</sup>) and Phobius<sup>114</sup> (<http://phobius.sbc.su.se/index.html>) were used to detect the presence of signal peptides; for SignalP the organism group was set to 'Eukarya' and for Phobius the 'normal prediction' method was used. All *Fraxinus* sequences belonging to the OMA groups were used as input for the signal peptide analyses; we concluded that a signal peptide was present only if it was predicted by both methods. The NetPhos 3.1 Server (<http://www.cbs.dtu.dk/services/NetPhos/>) was used with default settings

to identify candidate phosphorylation sites for loci where the amino acid variant observed at a site with evidence of convergence included a serine, threonine or tyrosine. The same protein sequences for resistant and susceptible taxa as used for protein modelling (see below) were input to an initial run of NetPhos 3.1; where evidence for phosphorylation site presence/absence was detected with this initial sequence pair (that is, present in the sequence with the convergent state and absent from that with the non-convergent state, or vice versa), we reran NetPhos 3.1 on all *Fraxinus* sequences from the relevant OMA groups to test whether this difference was consistently associated with the convergent/non-convergent state. We counted as potential phosphorylation sites only those for which the NetPhos score for phosphorylation potential was  $\geq 0.900$  for all sequences with the putative site.

RaptorX-Binding<sup>15</sup> (<http://raptorx.uchicago.edu/BindingSite/>) was used to generate predicted protein models for each of the candidate genes in our refined set, as well as to outline possible binding sites and candidate ligands. Protein sequences for gene models from the *F. excelsior* reference genome were used for initial protein model and binding site prediction, except in cases where *F. excelsior* was not present in the OMA group or where comparison with the other ingroup and outgroup taxa indicated that the *F. excelsior* gene model may be incorrect/incomplete; for these loci, the *F. mandshurica* sequences were used instead because, after the reference, the genome assembly for this taxon is among the highest quality available. For those loci for which a binding site could be successfully predicted (that is, with at least one potential binding site with a pocket multiplicity value of  $\geq 40$ ), additional models were generated for representative resistant (*F. mandshurica* or *F. platypoda*) and susceptible (*F. ornus* or susceptible *F. pennsylvanica*) taxa using Swiss-model<sup>116</sup> and Phyre2 (ref. 117) (intensive mode), with the exact taxon selection depending on which grand-conv pairwise comparison the locus was detected in (see Analysis of patterns of sequence variation consistent with molecular convergence) and which taxa had complete gene models. Where errors were detected in the predicted protein sequences for resistant or susceptible taxa (that is, due to errors in the predicted gene model that were detected through comparison with sequences from other species, including those from outgroups), these were corrected before modelling (for example, by trimming extra sequence resulting from incorrect prediction of the start codon). Models predicted by the three independent methods (RaptorX-Binding, Swiss-modeller and Phyre2) were compared by aligning them using PyMOL v.2.0 with the align function to check for congruence; only those loci whose models displayed congruence and where the convergent site was located within/close to the putative active site were taken forward for predictive ligand docking analysis (using the Phyre2 and RaptorX-Binding models for the docking itself). In addition, any loci with congruent models where the site with evidence of convergence is also a putative phosphorylation site presence/absence variant, or that are within a putative functional domain, were analysed further. Ligand candidates were selected based on relevant literature and/or the RaptorX-Binding output, with SDF files for each of the molecules being obtained from PubChem (<https://pubchem.ncbi.nlm.nih.gov>). SDF files were converted to three-dimensional programme database files using Online SMILES Translator and Structure File Generator (<https://cactus.nci.nih.gov/translate/>), so they could be used with Autodock. Docking analysis was carried out using Autodock Vina v.1.1.2 (ref. 118) with the GUI PyRx v.0.8 (ref. 119). Following docking, ligand binding site coordinates were exported as SDF files from PyRx and loaded into PyMOL with the corresponding protein model file for the resistant and susceptible taxa. Binding sites were then annotated and the residues at which evidence for convergence had been detected with grand-conv were labelled.

**Evidence for differential expression of candidate loci in *F. pennsylvanica*.** We used published transcriptome assembly and expression data from *F. pennsylvanica*<sup>18</sup> to look for evidence of differential expression of our candidate loci in response to EAB larval feeding. This dataset comprised six genotypes of *F. pennsylvanica*, four putatively resistant to EAB and two susceptible to EAB. To identify the orthologues of our genes in the protein sequences of this independently assembled transcriptome<sup>18</sup>, we repeated the OMA clustering analysis (see Gene annotation and orthologue inference) with the addition of these data, available as 'Fraxinus\_pennsylvanica\_120313\_peptides' at the Harwood Genomics Project website (<https://hardwoodgenomics.org>). OMA was run as described above, with the SpeciesTree parameter set to 'estimate'; because we intended to use only the results for the OGs and not the HOGs from this analysis, we did not repeat the clustering with a modified species-tree as was done for our main OMA analysis. Having identified the probable orthologous loci from the *F. pennsylvanica* transcriptome<sup>18</sup>, we used the results of the differential expression analysis<sup>18</sup> to check whether our candidate loci had significantly ( $P < 0.05$ ) increased or decreased expression post-EAB feeding in this dataset.

**Reporting Summary.** Further information on research design is available in the Nature Research Reporting Summary linked to this article.

## Data availability

Underlying data for Fig. 1 are available in Supplementary Tables 1 and 2. All trimmed read data and genome assemblies have been deposited in the European Nucleotide Archive under accession no. PRJEB20151. The genome assemblies are also available to download at: <http://www.ashgenome.org>.

## Code availability

The custom scripts used in this study have been deposited in GitHub: <https://github.com/lkelly3/eab-ms-scripts>.

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## Author contributions

R.J.A.B. conceived and oversaw the project. L.J.K. and R.J.A.B. wrote the manuscript, with input from J.L.K., W.J.P. and S.J.R. L.J.K. conducted gene annotation, orthologue inference, convergence analyses, calling and analysis of variants, GO enrichment analysis and phylogenetic analyses. L.J.K., W.C. and A.T.W. performed genome size estimation by flow cytometry. L.J.K., W.C., E.D.C. and D.W.C. extracted DNA. L.J.K. and E.D.C. assembled the genomes. J.L.K. conceived and oversaw the EAB bioassays. D.W.C. conducted the EAB bioassays. J.L.K. and M.E.M. analysed EAB bioassay data. W.J.P. conducted protein-modelling analyses. S.J.R. advised on convergence analyses.

## Competing interests

The authors declare no competing interests.

## Additional information

**Extended data** is available for this paper at <https://doi.org/10.1038/s41559-020-1209-3>.

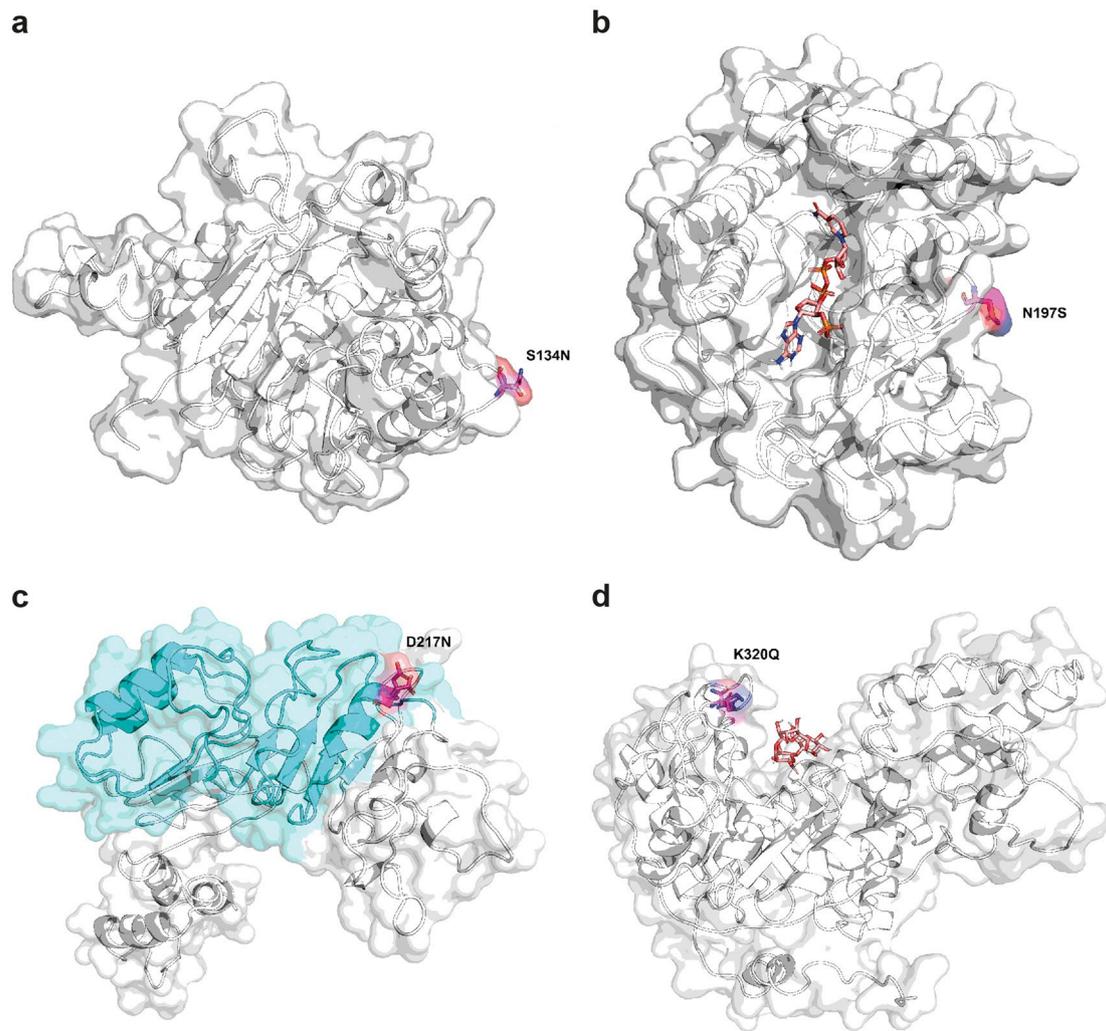
**Supplementary information** is available for this paper at <https://doi.org/10.1038/s41559-020-1209-3>.

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**Extended Data Fig. 1 | Predicted protein structures for selected candidate loci.** **a**, Predicted protein structure for OG36502, modelled using the protein sequence for *Fraxinus platypoda*. The serine/asparagine variant at the site where convergence was detected is highlighted; the serine is a putative phosphorylation site. **b**, Predicted protein structure for OG40061, modelled using the protein sequence for *F. mandshurica*. The asparagine/serine variant at the site where convergence was detected is highlighted; the serine is a putative phosphorylation site. The putative substrate, NADP, is shown docked within the predicted active site. **c**, Predicted protein structure for OG38407, modelled using the protein sequence for *F. mandshurica*. The aspartic acid/asparagine variant at the site where convergence was detected is highlighted; the site falls within a leucine rich repeat region (LRR; shaded blue) which is predicted to span from position 111–237 within the protein sequence (detected using the GenomeNet MOTIF tool ([www.genome.jp/tools/motif/](http://www.genome.jp/tools/motif/)), searching against the NCBI-CDD and Pfam databases with default parameters; the LRR region was identified as positions 111–237 with an e-value of  $1e-05$ ). **d**, Predicted protein structure for OG21033, modelled using the protein sequence for *F. platypoda*. The lysine/glutamine at the site where convergence was detected is highlighted. The putative substrate,  $\beta$ -D-Glcp-(1  $\rightarrow$  3)- $\beta$ -D-GlcpA-(1  $\rightarrow$  4)- $\beta$ -D-Glcp, is shown docked within the predicted active site.

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Underlying data for Figure 1 are available in Supplementary Tables 1 and 2. All trimmed read data and genome assemblies have been deposited in the European Nucleotide Archive under accession number PRJEB20151 (<https://www.ebi.ac.uk/ena/browser/view/PRJEB20151>). The genome assemblies are also available to download at: <http://www.ashgenome.org>.

## Field-specific reporting

Please select the one below that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.

- Life sciences       Behavioural & social sciences       Ecological, evolutionary & environmental sciences

For a reference copy of the document with all sections, see [nature.com/documents/nr-reporting-summary-flat.pdf](https://www.nature.com/documents/nr-reporting-summary-flat.pdf)

## Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	No statistical methods were used to predetermine sample size. The number of samples used for whole genome sequencing was determined by the availability of suitable material; all recognised diploid species of <i>Fraxinus</i> for which DNA suitable for whole genome sequencing could be obtained were included in the study. The number of <i>Fraxinus</i> samples used for the emerald ash borer resistance assays was determined by the availability of material for which suitably sized individual trees (stem diameter of at least 1cm) could be cultivated; all <i>Fraxinus</i> genotypes for which material potentially suitable to support the normal growth and development of emerald ash borer larvae was available were included in the study. Adequacy of sample size was inferred based upon successful previous bioassays with a more limited number of species using the same protocols.
Data exclusions	Illumina sequence data were excluded from further analysis if they did not meet the predefined quality thresholds stated in the Methods. Data from individual ramets, cuttings and/or seedlings included in the emerald ash borer resistance assay were excluded from analysis if the effective egg dose was too low (<3 larvae successfully entered the tree), or if there were other problems with the growth of the tree (e.g. cultivation issues). Individual EAB eggs were excluded if they did not hatch and the neonate did not enter the tree.
Replication	No replication of whole genome sequencing was performed. For the emerald ash borer resistance assay, we aimed to test three clonal replicates (grafts or cuttings) of at least two genotypes of each of the 26 <i>Fraxinus</i> taxa included in the experiments. Three replicate grafted ramets (or occasionally three seedlings as noted in Supplementary Tables 1) were assayed for each genotype. Each experiment contained three replicates (blocks) and one ramet was included in each block.
Randomization	For the emerald ash borer resistance assays, experiments were conducted using a randomised block design; groups of approximately 20 <i>Fraxinus</i> genotypes were included in each set of bioassays with one grafted ramet, cutting or seedling in each block and all ramets, cuttings and seedlings within the block randomised to location/order of assay. Eggs batches were applied to blocks arbitrarily and recorded, and post-setup quality control (hatch test) showed no egg batch quality differences.
Blinding	Blinding was not used during data acquisition or analysis. Blinding was not relevant to the majority of analyses conducted, because data from all samples were treated equally, according to predefined thresholds, irrespective of their source. Blinding was not possible during the convergence analyses because it required samples with the phenotypic trait of interest (i.e. resistance to emerald ash borer) to be defined during analysis. In the emerald ash borer resistance assays, Tree ID was not blinded as species differences are readily apparent and all assay workers were familiar with the different species. Replicates of each genotype were dissected by different assay workers to reduce bias.

## Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

## Materials &amp; experimental systems

- n/a | Involved in the study
- Antibodies
- Eukaryotic cell lines
- Palaeontology
- Animals and other organisms
- Human research participants
- Clinical data

## Methods

- n/a | Involved in the study
- ChIP-seq
- Flow cytometry
- MRI-based neuroimaging

## Animals and other organisms

Policy information about [studies involving animals](#); [ARRIVE guidelines](#) recommended for reporting animal research

## Laboratory animals

Eggs and larvae (up to the L4 instar) of the beetle species *Agrilus planipennis* were used in experiments. *Agrilus planipennis* eggs, which had been produced in a breeding facility at the USDA Northern Research Station and provided by Therese Poland, were allowed to hatch and develop within different species of *Fraxinus*; the sex of the resulting larvae was not determined.

## Wild animals

The study did not involve wild animals.

## Field-collected samples

The study did not involve samples collected from the field.

## Ethics oversight

Ethical guidance on the study protocol was provided by Ohio State University, which does not require approval for protocols involving the use of insects.

Note that full information on the approval of the study protocol must also be provided in the manuscript.

## Flow Cytometry

## Plots

Confirm that:

- The axis labels state the marker and fluorochrome used (e.g. CD4-FITC).
- The axis scales are clearly visible. Include numbers along axes only for bottom left plot of group (a 'group' is an analysis of identical markers).
- All plots are contour plots with outliers or pseudocolor plots.
- A numerical value for number of cells or percentage (with statistics) is provided.

## Methodology

## Sample preparation

Fresh leaf material was chopped with a new razor blade in an isolation buffer and then passed through a nylon filter before staining with propidium iodide.

## Instrument

CyFlow Space flow cytometer (Sysmex)

## Software

FloMax v.2.4

## Cell population abundance

Flow cytometry was solely used for the purposes of estimating approximate 2C genome size, to allow for generation of appropriate amounts of genome sequence data; the abundance of different cell populations and purity of the samples was not determined.

## Gating strategy

Flow cytometry was solely used for the purposes of estimating approximate 2C genome size, to allow for generation of appropriate amounts of genome sequence data, and a specific gating strategy was not defined.

- Tick this box to confirm that a figure exemplifying the gating strategy is provided in the Supplementary Information.