

## Predicting Potential Changes in Suitable Habitat and Distribution by 2100 for Tree Species of the Eastern United States

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### Abstract

We predict current distribution and abundance for tree species present in eastern North America, and subsequently estimate potential suitable habitat for those species under a changed climate with  $2\times\text{CO}_2$ . We used a series of statistical models (i.e., Regression Tree Analysis (RTA), Multivariate Adaptive Regression Splines (MARS), Bagging Trees (BT) and Random Forests (RF)) via our model, DISTRIB, for this purpose. These techniques were evaluated on several tree species, and advantages and disadvantages of each method were noted. RF provides the best prediction maps of potential suitable habitat. Overall, a combination of RTA, BT, and RF may yield the best information and most interpretable maps of suitable habitat. Using these tools, we provide statistics on potential changes in suitable habitat for 135 tree species of eastern North America.

A suitable habitat does not guarantee the presence of a species, as many barriers for the species still exist before it will be able to colonize that new suitable habitat. Dispersal ability, abundance of the colonizing species, and the nature of fragmented landscapes also influence migration and are modeled with our cellular automata model, SHIFT. For each cell outside a species' current boundary, SHIFT creates an estimate of the probability that each unoccupied cell will become colonized over 100 years. By evaluating the probability of colonization within the potential "new" suitable habitat, we can estimate the proportion of new habitat that might be colonized within a century. This proportion is low (< 15%) for five example species, suggesting that there is a serious lag between the potential movement of suitable habitat and the potential for the species to migrate into the new habitat. However, humans could accidentally or purposefully alter the migration rates of species by physically moving the propagules.

**Key words:** Climate change, Eastern United States, Migration, Predictive vegetation mapping, Tree species distribution.

### 1. Introduction

Evidence continues to mount on the impacts that climate change is already having on more and more species over several parts of the world (e.g., Fitter and Fitter, 2002; Cotton, 2003). Many more possible impacts are being projected via models (Matsui *et al.*, 2004), conceptual models of species functions

and habits (Ohsawa *et al.*, 1998), and field studies (Tanaka *et al.*, 1998). Though much uncertainty remains in these predictions and observations, convergence of paleoecological evidence (e.g., Davis and Zabinski, 1992; DeHayes *et al.*, 2000) and modeling (Kirilenko *et al.*, 2000) indicates that tree species will eventually undergo radical changes in distribution. It is also clear that these changes in distribution will occur unevenly among species so that the various species that combine to form a community will come together in different combinations under climate change (Webb and Bartlein, 1992).

Our group has been involved for some time in statistically modeling the potential change in habitat

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for common tree species in eastern United States. We initially built DISTRIB around regression tree analysis, a procedure of recursive partitioning, to predict the potential future habitat for 80 tree species (Iverson and Prasad, 1998; Iverson *et al.*, 1999a; Prasad and Iverson, 2000). This model was run at the scale of the county and used 33 climatic, edaphic, and land-use variables. In a current update of this work, we use  $20 \times 20$  km cells rather than counties, have an updated predictor and response variable set, and have extended the number of species modeled from 80 to 135 tree species found in the eastern United States. We also use the new tools, Bagging Trees (BT) and Random Forest (RF), to improve predictive capability in the statistical models (Prasad *et al.*, in press; Iverson *et al.*, 2004a).

We also have used a cellular automata model, SHIFT, to simulate migration of selected tree species over a 100 year period (Schwartz, 1993; Iverson *et al.*, 1999b, 2004b; Schwartz *et al.*, 2001). The output of SHIFT yields a colonization probability of the species over that period of time. The intersection of DISTRIB, which maps the suitability of the habitat, and SHIFT, which maps the probability of migration over 100 years, yields a map of feasible locations for new colonization under various scenarios of climate change (Iverson *et al.*, 2004c).

In this paper, we summarize this total process to facilitate a step-by-step procedure definition. We also summarize the areal estimates of DISTRIB output for 135 species using Random Forest and provide some results of SHIFT and the DISTRIB-SHIFT combined for five representative species.

## 2. Materials and Methods

Here we provide a step-by-step procedure for conducting the research imbedded within DISTRIB and SHIFT. Table 1 presents the steps, with further explanation described here or referred to in earlier papers.

### 2.1 DISTRIB

The primary data source for this effort was the USDA Forest Service's Forest Inventory and Analysis (FIA) plot which were numbered over 100,000 for the study area and which included data on nearly 3 million trees (Miles *et al.*, 2001). From these plots, importance values for 135 tree species were calculated based equally on the relative number of stems and the relative basal area in each plot (Iverson and

Prasad, 1998). The plot data were averaged to yield IV estimates for each  $20 \times 20$  km cell for each species. Species were included if they were native and had at least 50 cells of occupancy based on the FIA data, so that several quite rare species are included. Other data, including 3 land use, 1 fragmentation, 5 climate, 5 elevation, 9 soil classes, and 12 soil property variables, were acquired from various agencies and data clearinghouses to provide the 35 predictor variables listed in Table 2. For future climate, we used two general circulation model outputs: the Canadian Climate Centre (CCC) model (Boer *et al.*, 2000) and the Hadley Climate Centre model (Mitchell *et al.*, 1995).

Four statistical processes were performed in this effort. Regression Tree Analysis (RTA) constructs a set of decision rules (a regression tree) on the predictor variables by recursively partitioning the data into successively smaller groups with binary splits based on single predictor variables (Breiman *et al.*, 1984; Therneau and Atkinson, 1997). This was the primary tool used in our earlier work (Iverson and Prasad, 1998; Iverson *et al.*, 1999a; Prasad and Iverson, 1999). It has advantages over general linear models in uncovering hidden structures in data, in enabling the mapping of influential predictors, for allowing inclusion of related predictor variables, and for allowing interactions and non-linearities among variables. However, among other disadvantages, it may suffer from an unstable output (i.e., a small change in data can produce a quite different tree).

Multivariate Adaptive Regression Splines (MARS) is similar but handles continuous variables better in that the discontinuous branching at tree nodes in RTA is replaced by continuous smooth functions in MARS (Freidman, 1991; Prasad and Iverson, 2000). However, these functions are sometimes excessively guided by the local nature of the data, rendering it a poor method for predictive modeling.

Bagging Trees (BT) uses the RTA technique but creates multiple training sets by resampling with replacement (bootstrap resampling with 63% of the data selected in each sample) 50 times. These multiple, perturbed trees then are averaged to form a single predictive model (Breiman, 1996).

Random Forest (RF) is a new data-mining technique designed to produce very accurate predictions that do not overfit the data (Breiman, 2001). RF is very similar to BT in that bootstrap samples are

Table 1. Steps of DISTRIB, SHIFT, and the two combined.

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DISTRIB (For >100 species with at least 100 cells of occurrence in the eastern U.S.)

Model preparation

- 1a. Create 20×20 km grid of eastern United States (east of 100th meridian)
- 2a. Calculate importance value (IV) by plot from FIA data (based on number of stems and basal area)
- 2b. Summarize importance value by 20×20 km cell
- 3a. Prepare predictor variables from source data (see Table 2)
- 3b. Calculate weighted averages for each predictor variable by cell

Model runs

- 4a. Run Regression Tree Analysis (RTA) to estimate IV from predictors
- 4b. Run Multivariate Adaptive Regression Splines (MARS) to estimate current IV distribution
- 4c. Run Bagging Trees (BT) to evaluate stability of 50 individual runs of regression tree analysis using a random 2/3 subset sample
- 4d. Run Random Forest (RF) to create current estimates of IV from 1,000 perturbed trees (random 2/3 sample and random 15 predictor variables)
- 4e. Run Random Forest using future scenarios of climate to estimate future IV (suitable habitat)

Outputs

- 5a. Map outputs of RF for current and potential future suitable habitats
- 5b. Compare actual (Forest Inventory and Analysis data) maps to predicted current maps
  - i. Correlation
  - ii. Kappa
  - iii. Fuzzy Kappa (Hagen, 2003)
- 5c. Evaluate relative importance of variables using report outputs from RF
- 5d. Assess stability of model by calculating variability among multiple trees derived from Bagging Trees
- 5e. Assess variable relationships, scale of influence, and geographic location of predictors with RTA tree diagrams and maps

SHIFT (for small subset of species that do not reach the Canada border)

Model preparation

- 6a. Digitize/edit Little (1971, 1977) boundaries of tree distribution
- 6b. Smooth IV (derived in #2 above) via inverse distance weighting to 1 km resolution for gap filling and matching with Little's atlas boundaries
- 6c. Create mapped estimate of forest habitat density per 1 km cell
- 6d. Create 80% random forest map for model calibration
- 6e. Research species generation times

Model runs

- 7a. Run trials with 80% random forest map to calibrate migration rate to 50 km/century

- 7b. Run SHIFT for 100-year migration, using parameters uncovered from trial runs

Outputs

- 8a. Map estimated probability of colonization after 100 years
- 8b. Calculate areas of potentially colonized forest, by several probability levels

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DISTRIB-SHIFT (for the subset of species)

Processing

- 9a. Intersect DISTRIB maps with SHIFT maps

Outputs

- 10a. Calculate percentage of new suitable habitat (DISTRIB) potentially inhabited following 100 years of simulated migration (SHIFT), at 2, 20, and 50% probability of colonization
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drawn to construct multiple trees; however in RF, each tree is also grown with a randomized subset of predictor variables (in our case 15 out of the 36 variables were selected for each perturbed tree). In RF, a very large number of trees (500–2,000) are grown (hence a ‘forest’ of trees) and averaged to yield powerful predictions closer to the true error of the estimated population rather than just the training error.

The outputs of the RF can then be compared and evaluated using a set of map comparison tools. We used the Pearson's correlation, the Kappa statistic, and the fuzzy Kappa (Hagen, 2003) to compare actual FIA to modeled current prediction. These tools are described fully in Prasad *et al.* (in press). RF also provides output on the relative importance of each variable in the model. In addition, the stability of the model can be evaluated with the BT output by noting the variability of the deviance across 50 trees. With a stable model, the deviance explained would not vary much across trees, while an unstable model would yield trees explaining varying degrees of deviance. Finally, the relationship among variables, the geographic location of predictors, and the scale of influence can be assessed with RTA outputs.

## 2.2 SHIFT

SHIFT is a model to assess colonization probability during 100 years of warming climate; details on the model are given in Iverson *et al.* (1999b, 2004c). Following DISTRIB, further data preparation is needed prior to running SHIFT, including a smoothing of the IV values within a boundary established by a digitized (Prasad and Iverson, 2003) representa-

Table 2. Variables used to predict current and future tree species habitat.

|                            |   |
|----------------------------|---|
| Climate                    |   |
| AVGT                       | Mean annual temperature (°C)  |
| JANT                       | Mean January temperature (°C)   |
| JULT                       | Mean July temperature (°C)  |
| MAYSEPT                    | Mean May–September temperature (°C)   |
| PPT                        | Annual precipitation (mm)   |
| Elevation                  |   |
| ELV–CV                     | Elevation coefficient of variation  |
| ELV–MAX                    | Maximum elevation (m)   |
| ELV–MEAN                   | Average elevation (m)   |
| ELV–MIN                    | Minimum elevation (m)   |
| ELV–RANGE                  | Range of elevation (m)  |
| Soil class                 |   |
| ALFISOL                    | Alfisol (%)   |
| ARIDISOL                   | Aridisol (%)  |
| ENTISOL                    | Entisol (%)   |
| HISTOSOL                   | Histosol (%)  |
| INCEPTSOL                  | Inceptisol (%)  |
| MOLLISOL                   | Mollisol (%)  |
| SPODOSOL                   | Spodosol (%)  |
| ULTISOL                    | Ultisol (%)   |
| VERTISOL                   | Vertisol (%)  |
| Soil property              |   |
| BD                         | Soil bulk density (g/cm <sup>3</sup> )  |
| CLAY                       | Percent clay (<0.002 mm size)   |
| KFFACT                     | Soil erodibility factor, rock fragments free (susceptibility of soil erosion to water movement) |
| NO10                       | Percent soil passing sieve No. 10 (coarse)  |
| NO200                      | Percent soil passing sieve No. 200 (fine)   |
| OM                         | Organic matter content (% by weight)  |
| ORD                        | Potential soil productivity (m <sup>3</sup> of timber/ha)                                       |
| PERM                       | Soil permeability rate (cm/h)   |
| PH                         | Soil pH   |
| ROCKDEP                    | Depth to bedrock (cm)   |
| ROCKFRAG                   | Percent weight of rock fragments 8–25 cm  |
| SLOPE                      | Soil slope (%) of a soil component  |
| TAWC                       | Total available water capacity (cm, to 152 cm)  |
| Land use and fragmentation |   |
| AGRICULT                   | Cropland (%)  |
| FOREST                     | Forest land (%)   |
| FRAG                       | Fragmentation Index (Ritters <i>et al.</i> , 2002)  |
| NONFOREST                  | Non-forest land (%)   |

tion of Little's (1971, 1977) range boundaries. A forest density map was used which depicted the percent forest within each 1 km<sup>2</sup> (from Zhu and Evans, 1994). Additionally, a test bed of 80% randomly placed forest was created for calibrating migration rates to 50 km/century (a relatively high Holocene rate of migration and one that has not been shown in paleoecological studies to vary widely among species; hence the same rate was used for all species). Finally, research was needed to determine the approximate time to reproductive maturity, and thus the number of generations per 100 years, which ranged from 3 for *Quercus falcata* var. *falcata* to 6 for *Pinus taeda*.

Next were the SHIFT model runs, using first the 80% random forest map to calibrate the migration rate to 50 km/century. Then, the obtained parameters were used to run SHIFT for a 100-year migration, using 50 replicates which each correspond to a 2% probability of colonization. The output result was a 1 km cell map which indicated the probability of colonization after 100 years of migration under a climate change equivalent to 100 km per century. These outputs could then be used to calculate areas of potentially colonized forest, calculated at the 2, 20, and 50% probability of colonization but reported only at 20% in this paper.

### 3. Results and Discussion

#### 3.1 Climate change in eastern United States

The climate of the eastern United States is expected to change considerably according to several climate scenarios (National Assessment Synthesis Team, 2001). As shown in Table 3, the CCC scenario is a much warmer and drier scenario as compared to the Hadley scenario. For example, average January temperatures are expected to be over 6°C warmer by 2100 in the CCC scenario, but only about 1°C warmer in the Hadley scenario.

Table 3. Area-weighted averages for several climate variables for the eastern United States.

| Variable           | Current | Hadley | CCC   |
|--------------------|---------|--------|-------|
| Jan. temp, °C      | -1.7    | -0.8   | 4.9   |
| July temp, °C      | 23.5    | 27.0   | 28.5  |
| Ave. temp, °C      | 11.6    | 14.3   | 17.2  |
| May–Sept. temp, °C | 20.6    | 23.3   | 26.0  |
| PPT, mm            | 1,043   | 1,285  | 1,083 |

Table 4. Correlation and Kappa scores for RTA, BT, and RF among four tree species.

|                           | Correlation |       |       | Kappa |       |       | Fuzzy Kappa |       |       |
|---------------------------|-------------|-------|-------|-------|-------|-------|-------------|-------|-------|
|                           | RTA         | BT    | RF    | RTA   | BT    | RF    | RTA         | BT    | RF    |
| <i>Picea rubens</i>       | 0.864       | 0.945 | 0.953 | 0.576 | 0.586 | 0.589 | 0.660       | 0.659 | 0.660 |
| <i>Pinus banksiana</i>    | 0.734       | 0.896 | 0.919 | 0.430 | 0.447 | 0.477 | 0.497       | 0.517 | 0.539 |
| <i>Fraxinus americana</i> | 0.693       | 0.907 | 0.923 | 0.357 | 0.417 | 0.441 | 0.375       | 0.443 | 0.455 |
| <i>Quercus montana</i>    | 0.795       | 0.940 | 0.947 | 0.506 | 0.513 | 0.532 | 0.567       | 0.579 | 0.590 |

### 3.2 Evaluation of statistical tools

Table 4 shows the correlation and Kappa statistics for four species using RTA, BT, and RF: in each case RF has the superior result, although BT is very close. An evaluation of all four statistical procedures used to predict potential suitable habitat via the DISTRIB model shows some advantages and disadvantages of each method: RTA is the easiest to interpret but, with MARS, is also less accurate. MARS does fine on current vegetation modeling but fails on future predictions (Prasad *et al.*, in press). BT and RF both utilize multiple regression trees which increase accuracy, though interpretation is more difficult. BT and RF were clearly superior in reproducing actual importance value distributions, and also in producing more appropriate representations of future suitable habitat following climate change. We favor RF over BT because it creates a smooth output in a logical gradient fashion. A combination of RTA, BT, and RF may yield the best and most interpretable maps of suitable habitat. The superior prediction capability of RF is best used to map future scenarios, while RTA and to some extent BT can be used for their interpretive abilities. If the individual trees (among BT) are similar, a single RTA tree can be used to map what predictors are driving the distribution of the species spatially; a very unique aspect of RTA that offers additional insights into the species distribution (Iverson and Prasad, 1998; Iverson *et al.*, 1999a).

### 3.3 DISTRIB—estimates of suitable habitat

RF outputs yielded estimates of area coverage for each of 135 species, as modeled for the current time, and for year 2100 according to the Hadley and CCC global circulation model scenarios (Table 5). According to this assessment using the Hadley scenario, 34 species are inclined to have a reduced habitat (by at least 10%), and 87 species will have an increased habitat in the eastern United States by year 2100 (Table 6). For the CCC scenario, 52 species would have decreased habitat and 66 species would have

increased habitat. Note, however, that 73 of the 135 species bound Canada, and as such a full assessment of the potential change in suitable habitat is not possible, as only the habitat contained within the United States was analyzed. Work with Canadian colleagues to better assess the potential habitat changes within Canada is ongoing. Our data show that, of the decreasing species, most bound Canada (Table 6). On the other hand, most of the increasing species are more southern in nature that do not yet reach the Canadian boundary. In both cases, it is simply the northward shifting of habitat that is responsible for these patterns.

### 3.4 DISTRIB/SHIFT—estimates of migration into suitable habitat

The SHIFT model was used with five representative species (that did not have suitable habitat bounding Canada and which had a range of importance values, e.g., very low in *Diospyros virginiana* to very high in *Pinus taeda*) to estimate migration under climate change forcing (equivalent to migration rates during the Holocene of about 50 km/century). The SHIFT model considers the fragmented nature of the habitat, the abundance of the dispersing species, and the time it takes the tree species to become mature within the 100-year period.

Following the DISTRIB estimates of future suitable habitat, it is next appropriate to evaluate the proportion of suitable habitat that may become colonized during the next 100 years; this result is possible by intersecting output maps from both DISTRIB and SHIFT (Table 7).

It is clear from Table 7 that only a small proportion of the new suitable habitat is likely to be colonized in the next 100 years. Only about 5% or less of the new habitat, for any of the five test species, has at least a 20% probability of being colonized during that period. Therefore, there is a serious lag between the potential movement of suitable habitat and the potential for the species to migrate into the new habitat. However, humans could either accidentally

Table 5. Current (modeled) and potential future habitat area resulting from two scenarios of climate change in the eastern United States. An asterisk indicates the species bounds Canada so that a full range assessment was not possible.

| Species   | Current | Hadley<br>(km <sup>2</sup> ×1,000) | CCC   |
|---|---------|------------------------------------|-------|
| <i>Abies balsamea</i> *                               | 390     | 216                                | 165   |
| <i>Chamaecyparis thuyoides</i>                        | 18      | 30                                 | 7     |
| <i>Juniperus virginiana</i> *                         | 1,239   | 2,453                              | 2,842 |
| <i>Larix laricina</i> *                               | 274     | 186                                | 148   |
| <i>Picea glauca</i> *                                 | 228     | 73                                 | 14    |
| <i>Picea mariana</i> *                                | 254     | 43                                 | 11    |
| <i>Picea rubens</i> *                                 | 179     | 159                                | 139   |
| <i>Pinus banksiana</i> *                              | 258     | 175                                | 76    |
| <i>Pinus clausa</i>                                   | 78      | 326                                | 543   |
| <i>Pinus echinata</i>                                 | 887     | 1,534                              | 1,958 |
| <i>Pinus elliotii</i>                                 | 452     | 1,013                              | 1,382 |
| <i>Pinus glabra</i>                                   | 18      | 48                                 | 12    |
| <i>Pinus palustris</i>                                | 466     | 1,069                              | 1,133 |
| <i>Pinus pungens</i>                                  | 9       | 16                                 | 23    |
| <i>Pinus resinosa</i> *                               | 394     | 337                                | 227   |
| <i>Pinus rigida</i> *                                 | 114     | 107                                | 99    |
| <i>Pinus serotina</i>                                 | 138     | 168                                | 203   |
| <i>Pinus strobus</i> *                                | 884     | 789                                | 610   |
| <i>Pinus taeda</i>                                    | 1,198   | 1,963                              | 2,162 |
| <i>Pinus virginiana</i>                               | 525     | 667                                | 654   |
| <i>Taxodium distichum</i>                             | 339     | 505                                | 427   |
| <i>Taxodium distichum</i><br>(var. <i>nutans</i> )    | 180     | 520                                | 1,186 |
| <i>Thuja occidentalis</i> *                           | 380     | 242                                | 182   |
| <i>Tsuga canadensis</i> *                             | 561     | 489                                | 378   |
| <i>Acer barbatum</i>                                  | 5       | 10                                 | 11    |
| <i>Acer negundo</i> *                                 | 1,381   | 1,478                              | 1,182 |
| <i>Acer nigrum</i> *                                  | 16      | 11                                 | 5     |
| <i>Acer pensylvanicum</i> *                           | 302     | 199                                | 99    |
| <i>Acer rubrum</i> *                                  | 2,513   | 2,688                              | 2,560 |
| <i>Acer saccharinum</i> *                             | 825     | 1,088                              | 850   |
| <i>Acer saccharum</i> *                               | 1,693   | 1,627                              | 943   |
| <i>Acer spicatum</i> *                                | 54      | 14                                 | 1     |
| <i>Aesculus glabra</i>                                | 65      | 57                                 | 32    |
| <i>Aesculus octandra</i>                              | 6       | 10                                 | 9     |
| <i>Amelanchier</i> sp.*                               | 261     | 214                                | 171   |
| <i>Asimina triloba</i> *                              | 52      | 100                                | 35    |
| <i>Betula alleghaniensis</i> *                        | 459     | 324                                | 173   |
| <i>Betula lenta</i> *                                 | 320     | 335                                | 344   |
| <i>Betula nigra</i>                                   | 77      | 134                                | 65    |
| <i>Betula papyrifera</i> *                            | 545     | 346                                | 56    |
| <i>Betula populifolia</i> *                           | 116     | 87                                 | 48    |
| <i>Bumelia lanuginosa</i>                             | 1       | 4                                  | 3     |
| <i>Carpinus caroliniana</i> *                         | 1,019   | 1,367                              | 1,476 |
| <i>Carya aquatica</i>                                 | 128     | 158                                | 108   |
| <i>Carya cordiformis</i> *                            | 616     | 928                                | 685   |
| <i>Carya glabra</i> *                                 | 536     | 940                                | 768   |
| <i>Carya illinoensis</i>                              | 108     | 440                                | 446   |
| <i>Carya laciniosa</i> *                              | 13      | 17                                 | 8     |
| <i>Carya ovata</i> *                                  | 895     | 1,166                              | 896   |
| <i>Carya texana</i>                                   | 270     | 872                                | 1,504 |
| <i>Carya tomentosa</i> *                              | 550     | 976                                | 1,141 |
| <i>Castanea dentata</i> *                             | 4       | 12                                 | 10    |
| <i>Catalpa speciosa</i>                               | 47      | 46                                 | 69    |
| <i>Celtis laevigata</i>                               | 369     | 1,075                              | 1,376 |
| <i>Celtis occidentalis</i> *                          | 1,044   | 1,340                              | 1,215 |
| <i>Cercis canadensis</i>                              | 410     | 586                                | 668   |
| <i>Cornus florida</i> *                               | 1,554   | 1,986                              | 2,105 |
| <i>Diospyros virginiana</i>                           | 621     | 1,490                              | 1,697 |
| <i>Fagus grandifolia</i> *                            | 1,230   | 1,228                              | 866   |
| <i>Fraxinus americana</i> *                           | 1,608   | 1,934                              | 1,694 |
| <i>Fraxinus nigra</i> *                               | 468     | 294                                | 207   |
| <i>Fraxinus pennsylvanica</i> *                       | 1,822   | 2,126                              | 2,074 |
| <i>Fraxinus quadrangulata</i> *                       | 6       | 8                                  | 7     |
| <i>Gleditsia aquatica</i>                             | 7       | 14                                 | 15    |
| <i>Gleditsia triacanthos</i> *                        | 622     | 1,021                              | 1,090 |
| <i>Gordonia lasianthus</i>                            | 98      | 127                                | 122   |
| <i>Gymnocladus dioicus</i>                            | 35      | 43                                 | 34    |
| <i>Halesia carolina</i>                               | 10      | 10                                 | 8     |
| <i>Ilex opaca</i>                                     | 295     | 298                                | 354   |
| <i>Juglans cinerea</i> *                              | 35      | 8                                  | 2     |
| <i>Juglans nigra</i> *                                | 1,050   | 1,288                              | 1,054 |
| <i>Liquidambar styraciflua</i>                        | 1,314   | 2,231                              | 2,148 |
| <i>Liriodendron tulipifera</i> *                      | 1,211   | 1,764                              | 1,433 |
| <i>Maclura pomifera</i>                               | 529     | 986                                | 997   |
| <i>Magnolia acuminata</i> *                           | 46      | 41                                 | 20    |
| <i>Magnolia grandiflora</i>                           | 27      | 103                                | 43    |
| <i>Magnolia virginiana</i>                            | 418     | 736                                | 635   |
| <i>Magnolia macrophylla</i>                           | 4       | 4                                  | 0     |
| <i>Morus rubra</i> *                                  | 506     | 798                                | 841   |
| <i>Nyssa aquatica</i>                                 | 197     | 235                                | 114   |
| <i>Nyssa ogeche</i>                                   | 8       | 12                                 | 13    |
| <i>Nyssa sylvatica</i> *                              | 1,406   | 1,880                              | 1,980 |
| <i>Nyssa biflora</i>                                  | 391     | 539                                | 532   |
| <i>Ostrya virginiana</i> *                            | 1,478   | 1,898                              | 1,722 |
| <i>Oxydendrum arboreum</i>                            | 534     | 636                                | 342   |
| <i>Persea borbonia</i>                                | 175     | 272                                | 259   |
| <i>Platanus occidentalis</i> *                        | 561     | 902                                | 660   |
| <i>Populus balsamifera</i> *                          | 198     | 38                                 | 27    |
| <i>Populus deltoides</i> *                            | 875     | 1,098                              | 884   |
| <i>Populus grandidentata</i> *                        | 583     | 410                                | 108   |
| <i>Populus tremuloides</i> *                          | 827     | 489                                | 227   |
| <i>Prunus pensylvanica</i> *                          | 58      | 38                                 | 6     |
| <i>Prunus serotina</i> *                              | 2,262   | 2,527                              | 2,073 |
| <i>Prunus virginiana</i> *                            | 109     | 41                                 | 10    |
| <i>Prunus americana</i> *                             | 25      | 18                                 | 23    |
| <i>Quercus alba</i> *                                 | 2,270   | 2,617                              | 2,488 |
| <i>Quercus bicolor</i> *                              | 108     | 103                                | 41    |
| <i>Quercus coccinea</i>                               | 726     | 542                                | 462   |
| <i>Quercus durandii</i>                               | 1       | 0                                  | 0     |
| <i>Quercus ellipsoidalis</i> *                        | 129     | 80                                 | 15    |
| <i>Quercus falcata</i><br>(var. <i>falcata</i> )      | 1,043   | 1,695                              | 1,906 |
| <i>Quercus falcata</i><br>(var. <i>pagodaefolia</i> ) | 311     | 391                                | 351   |
| <i>Quercus ilicifolia</i>                             | 18      | 21                                 | 18    |
| <i>Quercus imbricaria</i>                             | 196     | 304                                | 140   |
| <i>Quercus laevis</i>                                 | 145     | 312                                | 246   |

| Species                        | Current | Hadley<br>(km <sup>2</sup> × 1,000) | CCC   |
|--------------------------------|---------|-------------------------------------|-------|
| <i>Quercus laurifolia</i>      | 489     | 850                                 | 1,166 |
| <i>Quercus lyrata</i>          | 182     | 179                                 | 160   |
| <i>Quercus macrocarpa</i> *    | 911     | 940                                 | 832   |
| <i>Quercus marilandica</i>     | 350     | 1,146                               | 1,787 |
| <i>Quercus michauxii</i>       | 45      | 24                                  | 11    |
| <i>Quercus muehlenbergii</i> * | 313     | 536                                 | 405   |
| <i>Quercus nigra</i>           | 952     | 1,549                               | 2,114 |
| <i>Quercus nuttallii</i>       | 86      | 91                                  | 87    |
| <i>Quercus palustris</i> *     | 246     | 376                                 | 206   |
| <i>Quercus phellos</i>         | 547     | 702                                 | 668   |
| <i>Quercus prinus</i> *        | 654     | 848                                 | 805   |
| <i>Quercus rubra</i> *         | 1,988   | 1,966                               | 1,536 |
| <i>Quercus shumardii</i>       | 10      | 31                                  | 37    |
| <i>Quercus stellata</i>        | 1,318   | 2,263                               | 2,806 |
| <i>Quercus velutina</i> *      | 1,641   | 1,998                               | 1,800 |
| <i>Quercus virginiana</i>      | 184     | 552                                 | 1,146 |
| <i>Quercus incana</i>          | 53      | 193                                 | 131   |
| <i>Robinia psuedoacacia</i>    | 623     | 1,056                               | 1,220 |
| <i>Salix amygdaloides</i> *    | 23      | 4                                   | 25    |
| <i>Salix nigra</i> *           | 614     | 863                                 | 632   |
| <i>Sassafras albidum</i> *     | 912     | 1,374                               | 1,278 |
| <i>Sorbus americana</i> *      | 2       | 0                                   | 0     |
| <i>Tilia americana</i> *       | 995     | 887                                 | 434   |
| <i>Tilia heterophylla</i>      | 0       | 1                                   | 1     |
| <i>Ulmus alata</i>             | 777     | 1,947                               | 2,316 |
| <i>Ulmus americana</i> *       | 2,081   | 2,289                               | 2,282 |
| <i>Ulmus crassifolia</i>       | 65      | 114                                 | 396   |
| <i>Ulmus rubra</i> *           | 1,245   | 1,534                               | 1,188 |
| <i>Ulmus thomasii</i> *        | 26      | 78                                  | 6     |
| <i>Planera aquatica</i>        | 46      | 55                                  | 48    |

Table 6. Comparison of future areal estimates for trees that are increasing, decreasing, or staying the same that are bound and not bound by Canada for two different climate scenarios. A tree species is increasing or decreasing if areal estimates are 10% higher or lower than present estimates.

|                             | Hadley | CCC |
|-----------------------------|--------|-----|
| All species                 |        |     |
| Decreasing                  | 34     | 52  |
| Increasing                  | 87     | 66  |
| Same                        | 14     | 17  |
| Species bounding Canada     |        |     |
| Decreasing                  | 30     | 38  |
| Increasing                  | 34     | 22  |
| Same                        | 9      | 13  |
| Species not bounding Canada |        |     |
| Decreasing                  | 4      | 14  |
| Increasing                  | 53     | 44  |
| Same                        | 5      | 4   |

or purposely move propagules into the new suitable habitat for certain species to mitigate some of these time lags.

#### 4. Conclusions

We have demonstrated a methodology aimed at estimating the potential changes in tree suitable habitat following two scenarios of climate change in the current century. Conditions for tree growth are changing, and will continue to change as the planet's climate changes over the next 100 years. These changes can follow one of several different paths, depending on which scenario is used in the analysis. The two widely used general climate scenarios reported here, Hadley and Canadian Climate Center, have widely diverging climate paths, but both result in substantial changes in suitable habitat for most species. Overall, more species will have increasing habitat than decreasing habitat, especially with the Hadley scenario, under this analysis.

Changes in suitability for growing trees are only half the issue, however. Also critical is whether the species will be able to migrate into the new suitable habitat. With SHIFT, we also estimate, for five species, the potential for the new suitable habitat to be colonized within that 100 year period. Our analysis shows a very large migration lag in that only a small fraction of the new suitable habitat will likely be colonized. If these analyses prove to be true, the result would be a decrease in fit between species and environment so that the species in question would be more susceptible to various stress conditions and perhaps relegated to refugia where conditions are still satisfactory for the species.

The overall results are not unlike what others have obtained using other techniques, such as simulation (e.g., GAP) models or other forms of envelope models: tree compositions will change. How this change proceeds to create new forest communities under climate change depends in part on the opposing forces of the general longevity of trees relative to their mostly slow dispersal capabilities.

Table 7. Area of potential new habitat for Hadley and CCC (under DISTRIB), and the potentially colonized area (and percentage) of new habitat, at the 20% probability level (under SHIFT, intersected with DISTRIB).

| Species                                    | Potential new habitat |       | Potential colonized (20%) |      | Percentage (%) |     |
|--|-----------------------|-------|---------------------------|------|----------------|-----|
|  | Had                   | CCC   | Had                       | CCC  | Had            | CCC |
| <i>Quercus falcata</i> var. <i>falcata</i> | 603                   | 1,339 | 24.9                      | 26.4 | 4.1            | 2.0 |
| <i>Oxydendrum arboreum</i>                 | 543                   | 542   | 11.9                      | 13.0 | 2.2            | 2.4 |
| <i>Liquidambar styraciflua</i>             | 196                   | 687   | 10.0                      | 15.4 | 5.1            | 2.2 |
| <i>Diospyros virginiana</i>                | 304                   | 792   | 3.9                       | 6.5  | 1.3            | 0.8 |
| <i>Pinus taeda</i>                         | 218                   | 822   | 7.0                       | 11.9 | 3.2            | 1.5 |

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